

10-16-00

UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)*(Only for new nonprovisional applications under 37 CFR 1.53(b))*Docket No.
ALT-5604D CONT of DIV III

Total Pages in this Submission

TO THE ASSISTANT COMMISSIONER FOR PATENTSBox Patent Application
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

METHOD AND APPARATUS FOR KIDNEY DIALYSIS

and invented by:

Connell et al.

JC841 U.S. PRO
09/689503If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/067,922

Which is a:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 08/479,688

Which is a:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 08/122,047

Which is a Divisional of prior application No.: 07/688,174.

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 76 (+ appendices) pages and including the following:
- a. ☒ Descriptive Title of the Invention
 - b. ☐ Cross References to Related Applications (if applicable)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Microfiche Appendix (if applicable)
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☐ Brief Description of the Drawings (if drawings filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)

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Docket No.
ALT-5604D CONT of DIV

Total Pages in this Submission

Application Elements (Continued)

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☐ Formal Number of Sheets _____
- b. ☒ Informal Number of Sheets 11
4. ☒ Oath or Declaration
- a. ☐ Newly executed (original or copy) ☐ Unexecuted
- b. ☒ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference (usable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.
6. ☐ Computer Program in Microfiche (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy (identical to computer copy)
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement/PTO-1449 ☒ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing

☐ First Class ☒ Express Mail (Specify Label No.): EL 387 674 236 US

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Docket No.
ALT-5604D CONT of DIV III

Total Pages in this Submission

Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*

16. ☐ Additional Enclosures *(please identify below):*

Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)

47. ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

Warning

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
ALT-5604D CONT of DIV III

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PLEASE DIRECT ALL CORRESPONDENCE TO:

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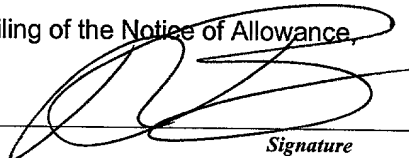
JC841 U.S. PTO
09/689503
10/12/00

Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	25	- 20 =	5	x \$18.00	\$90.00
Indep. Claims	1	- 3 =	0	x \$78.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$690.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$780.00

- ☒ A check in the amount of \$780.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____ as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).


Signature

Dated: October 12, 2000

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CC:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Connell et al. DOCKET NO.: ALT-5604D CONT of DIV III
SERIAL NO: Unknown/
Continuation of U.S.
Patent Application
Serial No. 09/067,922 ART UNIT: Unknown
FILED: Herewith EXAMINER: Unknown
INVENTION: "METHOD AND APPARATUS FOR KIDNEY DIALYSIS"

Assistant Commissioner of Patents

Washington, D.C. 20231

PRELIMINARY AMENDMENT

S I R:

Please enter the following Preliminary Amendment in the above-identified patent application:

IN THE CLAIMS:

Please cancel Claims 1-29 without prejudice or disclaimer.

Please add newly submitted Claims 30-54 as follows:

30. A hemodialysis apparatus, comprising:

(a) a dialysate-delivery system for supplying dialysate to a hemodialyzer, the dialysate-delivery system comprising at least one unit selected from the group consisting of (i) a dialysate-preparation unit, (ii) a dialysate-circulation unit, (iii) an ultrafiltrate-removal unit, and (iv) a dialysate-monitoring unit; and

(b) a user/machine interface operably connected to the dialysate-delivery system, the user/machine interface comprising a touch screen that displays information corresponding to a setting of a parameter pertinent to operation of the hemodialysis machine, the touch screen being

operable to display an indicium permitting the user to perform, using the touch screen, at least one step of a procedure for changing the setting of the parameter, and to display a time-variable profile of the operational parameter, the profile being representable as a plot of coordinates, the plot being with respect to an ordinate of values of the operational parameter and a time-based abscissa.

31. The hemodialysis apparatus of Claim 30 wherein the indicium is an icon that a user can press to indicate that the user wishes to change the setting of said parameter.

32. The hemodialysis apparatus of Claim 30 wherein the user/machine interface is operable, after the user touches the indicium, to display further indicia on the touch screen that permits the user to perform, using the touch screen, a further step of a procedure for changing the setting of the parameter.

33. The hemodialysis apparatus of Claim 30 wherein the user/machine interface is operable, after the user touches the indicium, to display a numerical keypad on the touch screen that the user can touch to select a value for said parameter.

34. The hemodialysis apparatus of Claim 30 wherein the time-variable profile is displayed as a plurality of segments, wherein the user/machine interface is operable as a plurality of segments, and the user/machine interface is operable to allow the user to change the value of any one of the plurality of segments.

35. The hemodialysis apparatus of Claim 30 wherein the touch screen is operable to permit the user to enter, using the touch screen, a time-varying profile for a parameter.

36. The hemodialysis apparatus of Claim 35 wherein the time-variable profile is displayed as a plurality of segments, and the touch screen is operable to allow the user to touch one of said segments to indicate that the user wishes to change the value of that segment.

37. The hemodialysis apparatus of Claim 36 wherein the time-variable profile represents the ultrafiltration rate.

38. The hemodialysis apparatus of Claim 36 wherein the time-variable profile represents the sodium concentration of the dialysate.

39. The hemodialysis apparatus of Claim 36 wherein the time-variable profile represents the bicarbonate concentration of the dialysate.

40. The hemodialysis apparatus of Claim 30 wherein the user/machine interface is operable to allow the user to save a profile of a time-varying parameter entered by the user.

41. The hemodialysis apparatus of Claim 30 wherein the user-machine interface is operable to allow the user to recall a previously saved profile of a time-varying parameter from a memory.

42. The hemodialysis apparatus of Claim 30 wherein the touch screen is operable to display a plurality of indicia, each corresponding to a different hemodialysis parameter.

43. The hemodialysis apparatus of Claim 30 wherein the touch screen is operable to display a plurality of indicia, each corresponding to a different time-variable hemodialysis parameter.

44. The hemodialysis apparatus of Claim 30 wherein the touch screen is operable to display values for said hemodialysis parameter.

45. The hemodialysis apparatus of Claim 30 wherein the user/machine interface is operable to require the user to verify a parameter after a value of the parameter is selected.

46. The hemodialysis apparatus of Claim 45 wherein the user/machine interface requires the user to verify the parameter using an indicium displayed on the touch screen.

47. The hemodialysis apparatus of Claim 30 wherein the touch screen is operable to permit the user to enter, using the touch screen, a time-variable profile that is displayed as a plurality of segments.

48. The hemodialysis apparatus of Claim 47 wherein the time-variable profile represents the ultrafiltration rate.

49. The hemodialysis apparatus of Claim 47 wherein the time-variable profile represents the sodium concentration of the dialysate.

50. The hemodialysis apparatus of Claim 47 wherein the time-variable profile represents the bicarbonate concentration of the dialysate.

51. The hemodialysis apparatus of Claim 47 wherein the user/machine interface is operable to allow the user to save a profile of a time-varying parameter entered by the user.

52. The hemodialysis apparatus of Claim 51 wherein the user-machine interface is operable to allow the user to recall a previously saved profile of a time-varying parameter from a memory.

53. The hemodialysis apparatus of Claim 47 wherein the touch screen is operable to display a plurality of indicia, each corresponding to a different hemodialysis parameter.

54. The hemodialysis apparatus of Claim 47 wherein the touch screen is operable to display a plurality of indicia, each corresponding to a different time-variable hemodialysis parameter.

REMARKS

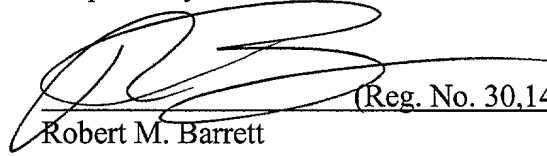
This Preliminary Amendment is submitted in the above-identified continuation patent application. This is a continuation patent application of U.S. Patent Application Serial No. 09/067,922 ("parent application"). Applicants note for the record that the parent application is currently on appeal though Applicants intend to withdraw the Appeal and abandon the parent application in favor of a second continuation patent application upon receipt of an official filing date for this continuation patent application.

Pursuant to this Preliminary Amendment Claims 1-29 are being canceled without prejudice or disclaimer and newly submitted Claims 30-54 are being added to this application. Specifically, newly submitted Claim 30 is identical to Claim 36 of the parent application. Claim 36 of the parent application had been noted to be allowable by the Patent Office. The instant application is being submitted, in part, in order to allow the Patent Office to issue the subject matter that had previously been noted to be allowable in the parent application, i.e., Claim 30. Newly submitted Claims 31-54 depend from Claim 30. Because these claims depend from Claim 30, which had been noted to be allowable by the Patent Office, these claims should also be allowable.

Neither the Preliminary Amendment nor the amended claims add new subject matter. In this regard, support for the claimed subject matter of Claims 30-54 can be found, *inter alia*, on pages 14- 19 of the parent application.

Applicants are also submitting herewith an Information Disclosure Statement that brings to the Patent Office's attention the references from the parent application and some additional references.

Respectfully submitted,


(Reg. No. 30,142)

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METHOD AND APPARATUS FOR KIDNEY DIALYSIS

FIELD OF THE INVENTION

The present invention relates to improvements in
5 kidney dialysis machines.

BACKGROUND OF THE INVENTION

Kidney dialysis machines are well known in the
art and are illustrated, for example, in U.S. Patents
10 3,598,727, 4,172,033, 4,267,040, and 4,769,134.

While machines according to the prior art provide
a number of advantageous features, they nonetheless have
certain limitations. The present invention seeks to
overcome certain drawbacks of the prior art and to provide
15 new features not heretofore available.

A discussion of the features and advantages of
the present invention is deferred to the following
detailed description, which proceeds with reference to the
accompanying drawings.

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BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a schematic hydraulic diagram of a
preferred embodiment of a kidney dialysis machine
according to the present invention.

25 FIG. 2 is a schematic diagram showing flow path
locations and components of a pre-dialyzer flow sensor and
a post-dialyzer flow sensor according to the present
invention.

FIGS. 3A and 3B are isometric and schematic
30 diagrams, respectively, of a concentrate-line proximity
sensor comprising a portion of the automatic proportioning
mode setting feature of the present invention.

FIG. 4 is a schematic diagram showing the
interconnection of input and output pressure equalizers
35 into the hydraulic flow path of the present invention.

FIG. 5 is a schematic diagram of the automated
drip-chamber level adjusters of the present invention.

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5 FIG. 7 shows a block diagram of a computer system
used in the preferred embodiment.

FIG. 8 shows a touch screen display used in the preferred embodiment.

FIG. 9 shows the touch screen of FIG. 8 with a
10 calculator window for data entry.

FIG. 10 shows a profile entry screen used in the preferred embodiment.

FIG. 11 shows a programming screen used in the preferred embodiment.

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DETAILED DESCRIPTION

Hydraulic Circuit

A hydraulic circuit 10 representing a preferred embodiment of an improved hemodialysis machine according to the present invention is illustrated in FIG. 1. The hydraulic circuit 10 is comprised of the following principal components: an incoming water pressure regulator 12, a water on/off valve 14, a heat exchanger 16, a heater 18, a safety thermostat 20, an "A" concentrate pump 22, a supply valve 24, an air gap chamber 26, an "A" rinse fitting 28, a "B" rinse fitting 30, a deaeration sprayer 32, an air removal pump 34, a vented air trap 36, an "A" conductivity probe 38, a "B" concentrate pump 40, a supply pump 42, a "B" mix chamber 44, a "B" conductivity probe 46, a dialysate filter 48, a supply regulator 50, an input pressure equalizer 52, a flow equalizer 54, an output pressure equalizer 56, end-of-stroke sensors 59, a dialysate conductivity probe 60, a pre-dialyzer flow sensor 62, a dialysate pressure transducer 64, a bypass valve 66, a dialysate sample port 68, a post-dialyzer flow sensor 70, a dialysate pressure pump 72, a UF removal regulator 74, a UF flow meter 76, a blood-leak detector 78, and a rinse valve 80. The

The incoming water pressure regulator 12 is coupled to a pressurized water source 82 and reduces and stabilizes the water supply pressure to a level of about 20 psig.

The water on/off valve 14 opens when machine power is on, thereby allowing water to flow from the source 82 into the hydraulic circuit 10. When the machine power is off, the water on/off valve 14 is closed.

The heat exchanger 16 transfers heat from "spent" or effluent dialysate, passing through conduit 84, to the cooler incoming water passing through conduit 86 as these two liquids pass countercurrently through separate but adjacent compartments in the heat exchanger 16. In this way, the incoming water is warmed, which reduces the amount of heat energy that must be supplied to the water by the heater 18.

The heater 18 further warms the incoming water to a suitable temperature for hemodialysis, which is about 38°C. A typical heater 18 is a resistance type known in the art, rated at about 1500 watts. The heater 18 includes a downstream thermistor 20 or analogous temperature-sensing device. A thermistor as known in the art is essentially a temperature-sensitive resistor which experiences a change in electrical resistance that is inversely proportional to a corresponding change in temperature. The thermistor 20 is coupled to the machine's microprocessor (not shown in FIG. 1) which utilizes signals from the thermistor for turning the heater 18 on and off as required to maintain the water temperature at the proper level.

The "A" concentrate pump 22 propels either "acid" or "acetate" concentrate as known in the art from a container thereof 88 into the air gap chamber 26. The "A" concentrate pump 22 is a fixed-volume cam-driven pump. A stepper motor 90 calibratable to rotate a precise number of rotations per minute is preferably used to drive the

A proximity sensor (not shown in FIG. 1 but described in further detail hereinbelow) is built into the

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The "A" conductivity probe 38 measures the electrical conductivity of the mixture of water and "A" concentrate. Conductivity is an accurate way to ascertain whether the "A" concentrate solution has been correctly

5 "A" conductivity probe 38 is also provided with a thermistor 110. The thermistor 110 is coupled to the microprocessor (not shown) which performs the necessary temperature compensation.

The "B" concentrate pump 40 delivers bicarbonate concentrate from a supply thereof 112 and is operable only during bicarbonate dialysis therapy. The "B" concentrate pump 40 is a fixed-volume cam-driven pump similar to the "A" concentrate pump 22. The "B" concentrate pump 40 is driven by a stepper motor 114. As with the "A" concentrate pump, the angular velocity of the stepper motor shaft is monitored by an optical sensor. The optical sensor is connected to the machine's microprocessor which calculates the amount of "B" concentrate necessary to achieve a correct dialysate composition for safe hemodialysis therapy and correspondingly controls the angular velocity of the cam. The "B" concentrate pump 40 will automatically compensate for changes in dialysate flow rate in the event that said flow rate is changed during a dialysis treatment by increasing or decreasing the pump rate.

FIG. 1 also shows an optional third concentrate supply 116, a third fixed-volume cam-driven concentrate pump 118 operable in the same manner as the "A" and "B" concentrate pumps 22, 40, a corresponding mixing chamber 120 and conductivity probe 122.

The "B" mix chamber 44 provides thorough mixing of the "B" concentrate with the proportioned mixture of "A" concentrate and water to form dialysate before the dialysate enters the "B" conductivity probe 46.

35 The "B" conductivity probe 46 monitors dialysate conductivity. Electronic circuitry (not shown) coupled to the "B" conductivity probe 46 subtracts the conductivity measured at the "A" conductivity probe 38 from the

[illegible]

conductivity measured at the "B" conductivity probe 46. During acetate dialysis, the difference in these conductivity readings should be zero. Since conductivity measurements are affected by temperature, a thermistor 124 is included with the "B" conductivity probe 46 to provide temperature compensation of the "B" conductivity reading. The thermistor 124 also comprises a portion of a redundant high temperature alarm subsystem.

Before describing the hydraulic circuit any further, it is appropriate to briefly describe the flow equalizer 54. The flow equalizer 54 comprises a first chamber 126 and a second chamber 128 of substantially equal volume. Each chamber 126, 128 is comprised of two compartments, one termed a "pre-dialyzer" or "pre" compartment 130, 132 and the other a "post-dialyzer" or "post" compartment 134, 136. Each pair of opposing "pre" and "post" chambers is separated by a flexible diaphragm 138, 140. Solenoid-actuated valves 142-149 control the filling and emptying of each compartment. In general, each compartment 130, 132, 134, 136 is completely filled before its contents are discharged. Also, the "pre" compartments 130, 132 are alternately filled and discharged and the "post" compartments 134, 136 are alternately filled and discharged. Also, filling a "pre" compartment 130, 132 causes a corresponding discharge of an opposing "post" compartment 134, 136, respectively. The "pre" compartments 130, 132 alternately fill from the supply pump 42 and alternately discharge to the dialyzer. The "post" compartments 134, 136 alternately fill with "spent" dialysate returning from the dialyzer and discharge the spent dialysate to a drain line 150. For example, dialysate from the supply pump 42 enters the "pre" compartment 132, thereby displacing the diaphragm 140 in FIG. 1 to the right, causing the "post" compartment 136 to empty. Simultaneously, "post" compartment 134 fills while "pre" compartment 130 empties.

The flow equalizer 54 operates via a four-phase cycle. In the first phase, valves 142, 145, 147, and 148

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5 compartment 134, which has a volume equal to the volume in
the "pre" compartment 130, to pass to the drain line 150.
At the same time, effluent dialysate from the dialyzer
enters the "post" compartment 136, thereby forcing the
diaphragm 140 to be displaced to the left in FIG. 1 to
10 expel an equal volume of fresh dialysate from the "pre"
compartment 132 to the dialyzer. In the second phase, all
the solenoid valves 142-149 turn off for a short period of
time (about 125 msec). This brief shut-off eliminates
adverse affects on ultrafiltration accuracy that would
15 otherwise result if at least two of said valves were open
at the same time. In the third phase, solenoid valves
143, 144, 146, and 149 are energized, causing the "post"
compartment 134 to fill with effluent dialysate from the
dialyzer, thereby expelling fresh dialysate from "pre"
20 compartment 130 to the dialyzer. Also, the "pre"
compartment 132 simultaneously fills with fresh dialysate
from the supply pump 42, thereby expelling effluent
dialysate from the remaining "post" compartment 136 to the
drain line 150. In the fourth phase, all the solenoid
25 valves 142-149 are again turned off for about 125 msec.

Since the volumes of opposing "pre" and "post" compartments 130, 134 and 132, 136 are equal, the flow equalizer 54 volumetrically balances the flow of dialysate to and from the dialyzer. A further benefit of such volumetric equality is that dialysate flow to the dialyzer can be accurately measured over a wide range of flow rates.

The supply pump 42 has two functions: (a) to supply an adequate dialysate flow volume and pressure to fill the flow equalizer compartments with dialysate, and (b) to create a flow of dialysate through a loop comprised of the dialysate filter 48, the supply regulator 50, the "B" mix chamber 44, and the "B" conductivity probe

The dialysate filter 48 is used to occlude downstream passage of particulate foreign material into the flow equalizer 54. The supply regulator 50 is adjusted to an output pressure of approximately 16 psig. Whenever the "pre" and "post" compartments of the flow equalizer 54 reach the end of a fill cycle during phases 1 or 3, pressure builds up in the loop 152. As the pressure increases to about 16 psig, the supply regulator 50 opens sufficiently to pass the dialysate output of the supply pump 42 through the loop 152 until the next phase 1 or 3.

15 The input pressure equalizer 52 equilibrates
hydraulic pressures at the inlets 155 of the flow
equalizer 54 so that the compartments 130, 132, 134, 136
fill at the same rate. Likewise, the output pressure
equalizer 56 equilibrates hydraulic pressures at the
20 outlets 156 of the flow equalizer 54. The input and
output pressure equalizers are discussed in greater detail
hereinbelow.

The input pressure equalizer 52 also automatically equilibrates the pressure of the dialysate flowing through the downstream lines 158, 160 with the pressure of dialysate at the flow equalizer inlets 154. Whenever the pressure at the flow equalizer inlets 154 exceeds the pressure generated by the dialysate pressure pump 72, the input pressure equalizer 52 restricts the flow of dialysate in lines 158, 160. Such equilibration of pressures allows both chambers 126, 128 in the flow equalizer 54 to be filled at identical rates.

End-of-stroke sensors 162, 164 are provided at the outlets 156 of the output pressure equalizer. The
35 end-of-stroke sensors 162, 164 verify when the flow equalizer compartments have reached the end of a fill cycle (end of stroke). When the compartments are full, the end-of-stroke sensors 162, 164 send a no-flow signal

to machine's microprocessor, indicating that the compartments are full.

The dialysate conductivity probe 60 measures the conductivity of the dialysate before it enters the dialyzer. The machine's microprocessor compares the measured conductivity with an expected conductivity value (discussed in detail hereinbelow) based upon concentrate formulation information entered by the operator using the touch screen. If the measured dialysate conductivity is excessively above or below the expected conductivity value, the machine's microprocessor activates a conductivity alarm. Also, the bypass valve 66 is triggered during a conductivity alarm to divert dialysate away from the dialyzer through conduit 166.

The dialysate conductivity probe 60 includes a thermistor 168 which allows temperature compensation of the conductivity reading. The electronic signal from the thermistor 168 is also utilized to provide a dialysate temperature display on the machine's touch screen as well as primary high and low temperature alarm limits. The dialysate conductivity as measured by the conductivity probe 60 is also displayed on the machine's touch screen.

The dialysate flow sensor 62 includes a self-heating variable thermistor as well as a reference thermistor (not shown in FIG. 1, but discussed in detail hereinbelow). The dialysate flow sensor 62 is used mainly as a bypass monitor. Whenever the machine is in bypass, the resulting lack of dialysate flow past the flow sensor 62 serves as a verification that the bypass valve 66 is functioning correctly.

The dialysate pressure transducer 64 senses dialysate pressure and converts the pressure reading into an analog signal proportional to the dialysate pressure. The analog signal is utilized by the machine's microprocessor as the basis for a dialysate pressure display on the touch screen, pressure alarms, and other dialysate control functions (not shown in FIG. 1).

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5 when triggered, occludes the conduit 170 leading to the
dialyzer and shunts the dialysate flow through conduit 166
to a location 172 downstream of the dialyzer.

which allows the operator to obtain a sample of the
10 dialysate using a syringe for independent testing.

the post-dialyzer ("venous") line 174. The second flow sensor 70 is constructed similarly to the first flow sensor 62 and is discussed in detail hereinbelow. The second flow sensor 70 is utilized for checking the accuracy of the machine's ultrafiltration capability.

downstream of the dialyzer. An accompanying recirculation loop comprising lines 158, 160 conducts effluent dialysate 20 to the inlet pressure equalizer 52. The recirculation loop 158, 160 thereby helps equilibrate pressure differences that might otherwise be transmitted to the flow equalizer 54 and also serves as a source of hydraulic pressure sufficient to fill the UF flow meter 76 when 25 demanded thereby.

dialysate at a constant flow rate of 1500 mL/min through the recirculation loop 158, 160 without affecting the overall dialysate flow rate through the hydraulic circuit 10. As a result, the dialysate pressure pump 72 is usable to adjust pressure differences across the dialyzer membrane.

receives an adequate volume of dialysate for pumping, the
35 flow dynamics of dialysate through the hydraulic circuit
10 are unaffected. However, should liquid be removed from
the recirculation loop 158, 160, the dialysate pressure
pump will attempt to replace that lost volume by demanding

more volume from the dialyzer. Since the flow equalizer 54 maintains volumetric constancy of dialysate passing to and from the dialyzer, the only fluid available to replace any fluid lost from the loop 158, 160 must come from the dialyzer itself. Hence, by precisely controlling the amount of liquid removed from the recirculation loop 158, 160 (using the UF flow meter 76), the operator can precisely control the amount of liquid that must be removed from the hemodialysis patient via the dialyzer.

Since the dialysate pumped by the dialysate pressure pump 72 has a partially restricted flow, a sufficient pressure is thereby provided at the input of the UF removal regulator 74. The UF removal regulator 74 regulates hydraulic pressure at the input 178 of the UF flow meter 76.

The UF flow meter 76 is comprised of a chamber 180 separated into two subcompartments 182, 184 via a diaphragm 186. Each subcompartment 182, 184 has a corresponding valve 188, 190, respectively, associated therewith. Either subcompartment 182, 184 of the UF flow meter 76 can only fill when the corresponding valve 188, 190 is opened. Whenever a first subcompartment 182 is filling, the opposing second compartment 184 is emptying its contents to a drain line 192. The rate of UF removal through the UF flow meter 76 is governed by the rate at which the corresponding valves 188, 190 are alternately opened and closed.

Whenever liquid leaves the recirculation loop 158, 160 through the UF flow meter 76, correspondingly less liquid is recirculated through the recirculation loop 158, 160. This causes a corresponding "starvation" at the input 172 of the dialysate pressure pump 72 which generates a corresponding decrease in dialysate pressure in the dialyzer. The decreased dialysate pressure causes a volume of liquid to be removed from the patient that is equal to the volume of liquid removed from the recirculation loop 158, 160 via the UF flow meter 76. These volumes will be equal so long as the dialyzer has an

Effluent dialysate expelled from the flow equalizer 54 passes through and is monitored for the presence of blood by the blood-leak detector 78. The blood-leak detector 78, discussed in further detail hereinbelow, comprises a light source 194 and a photocell 196 which monitors light transmitted through the effluent dialysate solution passing therethrough. If blood leaks through the dialyzer membrane from the patient into the dialysate, the dialysate passing through the blood-leak detector 78 will absorb a portion of the light passing therethrough. The corresponding decrease in the amount of light reaching the photocell 196, if the decrease is excessive, triggers a blood-leak alarm by the machine.

The rinse valve 80 allows the UF flow meter 76 to remove rinse water from the recirculation loop 158, 160 at a rate of about 4 L/h. Such rinsing ensures an adequate flushing of the recirculation loop 158, 160 and UF flow meter 76. However, since liquid is removed from the loop 158, 160 at a relatively high rate during rinse, the rinse valve 80 also allows an equivalent volume of liquid to be added back to the loop 158, 160.

In the preferred embodiment, a touch screen user
30 interface is employed.

Touch screens are known in the art and are commercially available from a number of sources, including Elographics West of San Diego, Cal. The use of touch screens in user interface applications for medical equipment is also known, as shown for example in US Patents 4,974,599 and 4,898,578, the disclosures of which are incorporated herein by reference.

5 means by which a computer, a CRT, and a touch screen can be cooperatively operated in this fashion is well known and does not, per se, form a part of this invention.

10 CRT display 503, and other components of the apparatus. This computer is programmed in the language 'C' in a conventional manner to accomplish the dialogue and other functions subsequently described.

15 usually presented to the operator of the system of FIG. 7. As can be seen, the primary treatment parameters are displayed. These include the heparin pump rate, the dialysate flow rate, the dialysate conductivity, the dialysate temperature, the elapsed treatment time, the
20 total ultrafiltrate removed, the transmembrane pressure, and the ultrafiltration rate. Also displayed are the patient's arterial and venous blood pressure (both in column of mercury form and in numeric form). A linear indicator at the bottom of the screen indicates the blood
25 pump flow rate. A space at the top of the screen is reserved for alarm and help messages. These elements of the display are detailed more fully in Appendix A beginning at page Reference 1.

30 box. The border serves as a visual alarm indicator and
changes color and flashes if a corresponding alarm limit
is violated.

35 is used to reset alarm conditions after an alarm condition is corrected. HELP guides the user through a variety of help messages. SET LIMITS sets the alarm limits for various parameters including arterial pressure, venous

pressure and TMP. MENUS replaces the buttons on the right hand side of the display with additional buttons corresponding to additional control functions, while maintaining the displayed parameters elsewhere on the screen. RINSE initiates the rinse mode, provided the interlocks are met. MUTE silences most audio alarms for 100 seconds. Additional buttons can appear in this part of the screen and are detailed in the Reference Section of Appendix A. Button locations are reprogrammable and can have multiple legends associated with them. Also, their positions on the touch screen can be varied by reprogramming.

If it is desired to change one of the displayed parameters, such as the heparin pump rate, the operator simply touches the corresponding indicator. A calculator-like keyboard then pops up in a window superimposed on the display, as shown in FIG. 9. On this keyboard, the user can enter the new value for the selected parameter. Once the desired parameter is entered in this fashion, the operator presses ENTER on the calculator display and the calculator display disappears. The revised parameter is substituted in the corresponding indicator (with its border highlighted) and the user is prompted, through a button that appears at the lower right hand side of the screen, to verify the entered change. If the VERIFY button is not touched shortly after displayed, the VERIFY button disappears and the machine continues with its previous parameter. If timely verified, the change takes effect. In the preferred embodiment, the user has between one and five seconds to verify the parameter.

Some parameters are not susceptible to representation by a single number displayed in a parameter window. Exemplary are parameters that are programmed to change over time (so-called profiled parameters). In this class are the sodium concentration of the dialysate solution, the bicarbonate concentration of the dialysate solution, KT/V , and the ultrafiltration rate.

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In the preferred embodiment, such profiled parameters are selectably displayed in the form of bar graphs on the display screen. Using sodium as an example, the Y-axis represents sodium concentrations in the range of 130 - 160 mEq/L. The X-axis represents the treatment period, broken down into fifteen minute intervals. Such a display is shown in FIG. 10.

The use of bar graphs to display profiled parameters is known in the art. The prior art fails, however, to provide a convenient manner by which data characterizing the profile curve may be entered into the machine. Typically, such data entry has been accomplished through a keypad on which data for each discrete time period is entered. However, this approach requires dozens of key presses and provides numerous opportunities for error.

In the preferred embodiment, in contrast, profiled parameters are entered by simply tracing the desired profile curve on the touch screen.

In more detail, programming of profiled parameters is performed as follows:

From the main touch screen display of FIG. 8, the user presses MENUS. The programming screen of FIG. 11 then appears, which includes along its right hand side buttons corresponding to the programming of sodium, bicarbonate, KT/V , and ultrafiltration. The parameter desired to be programmed is then selected by touching the corresponding button.

In response to this touch, the screen of FIG. 10 appears. If a profile has already been programmed, it is displayed in bar graph fashion on this screen. Otherwise, the graph is empty.

Before permitting the user to program the sodium profile, the machine first solicits the sodium value of the sodium concentrate being used. This data is entered on a pop-up keypad. If the treatment time was not earlier programmed, the machine also solicits this data by means of a pop-up keypad.

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Abstract

As with other parameters, profiled parameters
30 must also be Verified before they take effect.

After the above-detailed data profiling operations are completed, data corresponding to the programmed profile is stored in the computer's memory. Periodically, such as once every fifteen minutes, a timed interrupt in the system's software program causes the computer to poll this memory for the value of the programmed parameter for the next time interval (here

Once treatment has begun, the system only permits bar graph-bars corresponding to upcoming time intervals to be programmed. Bars corresponding to past time intervals reflect treatment history and cannot be changed. To readily distinguish past from future, the bars corresponding to each are displayed in different colors.

In all aspects of the interface, the user is guided from one touch to the next by a feature of the preferred embodiment wherein the button that the user is most likely to press next is highlighted. For example, when the machine is in Rinse mode and is nearing completion of these operations, the Self-Test button is highlighted, indicating that this is the next likely operation. Similarly, when the Self-Test operation is nearing completion, the Prime button is highlighted. By this arrangement, even novice users are easily guided through the machine's various phases of operations.

Data cards (also known as memory cards or RAM cards) are known in the art, as represented by U.S. Patents 4,450,024, 4,575,127, 4,617,216, 4,648,189, 4,683,371, 4,745,268, 4,795,898, 4,816,654, 4,827,512, 4,829,169, and 4,896,027, the disclosures of which are incorporated herein by reference. In the preferred embodiment, a data card can be used both to load treatment parameters into the machine and to download logged patient parameters from the machine for therapy analysis.

Among the treatment parameters that can be provided to the machine by a data card are the ultrafiltration profile, the sodium profile, the

When a card containing treatment parameters is read by the machine, the stored parameters do not immediately take effect. Instead, each is displayed on the screen and the operator is asked, through prompts that appear on the screen, to verify each individually. If a parameter is not verified, that aspect of machine operation is left unchanged. In the preferred embodiment, the parameters loaded from a memory card are displayed in their respective parameter windows and each is highlighted in sequence, with the system soliciting verification of the parameter in the highlighted window. In alternative

embodiments, a plurality of parameters are displayed for verification as a group.

Returning now to FIG. 7, the computer system 500 that controls the user interface and other aspects of machine operations is built around an IBM-AT compatible motherboard 502 that includes an Intel 80286 microprocessor 504 and 256K of RAM 506 interconnected by an AT bus 508. Into expansion slots in this motherboard plug seven additional boards: a memory board 510, an RS-232 board 512 (which is dedicated to controlling a patient blood pressure monitor), an Input/Output system controller board 514, an ultrafiltration/proportioning system controller board 516, a Blood pump system controller board 518, a touch screen interface board 520, and an EGA display adapter board 522.

The computer system has five primary responsibilities: (1) user interface (i.e., through the CRT display and the touch screen); (2) state machine control (i.e., rinse, prime, dialyze, etc.); (3) microcontroller communications; (4) conducting of self-tests; and (5) calibrations. These functions are carried out by the AT-computer in conjunction with the above-listed expansion boards.

Turning now to a more detailed description of each component, the memory board 510 contains the firmware for the 80286 microprocessor. The memory board can hold up to 384K of read only memory (ROM) 524 and 8K of nonvolatile static random access memory (RAM) 526. Also included on the memory board is a memory interface 528, an RS-232C interface 530, and a time of day clock 532. The interface 528 is conventional and simply handles the addressing of memories 524 and 526. The RS-232C interface is for general purpose use (as opposed to the RS-232 interface 512 that is dedicated to use with a blood pressure monitor) and is typically used to remotely provide programming instructions to, and to interrogate patient treatment data from, the machine. The time of day clock 532 is used, inter alia, to time/date stamp patient

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of the user interface.

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	<u>Description</u>	<u>Location</u>
	User parameter entry	Host controller
5	Software Speed Error Control	Blood Pmp Controller
	Hardware Speed Error Control	BP Power Board
	Optical speed sensor	On motor shaft
10	Motor Power Driver Circuitry	BP Power Board

The operator enters the desired blood pump rate information on the video screen (CRT) touch panel. The host controller (80286 microprocessor) converts this information to the appropriate motor rate which it then sends to the Blood Pump controller (8040) on the Blood Pump Controller board. The 8040 controller converts the motor rate information to an analog level, which is fed to a motor speed control IC (LM2917-8) on the Blood Pump Power board.

25 An optical speed sensor is mounted on the rear shaft of the blood pump motor, with an LED being positioned on one side of the shaft, and a photo transistor on the opposite side. The shaft has two holes drilled through it, with each hole being perpendicular to the shaft and to each other. This results in four optical pulses received per shaft revolution.

30 This tachometer signal is monitored by both the LM2917-8 and the 8040 controller. The LM2917-8 provides quick responding speed control by comparing the motor speed with the desired speed information from the 8040. The result of this comparison is an error signal which
35 provides an input to the motor power driver circuit.

The motor power driver provides a +24 V pulse width modulated drive to the motor at a frequency of approximately 30 KHz. This drive is current limit protected, to prevent damage in the event of a stalled motor.

The 8040 compares the tachometer motor speed information with the desired speed commanded by the 80286

5 monitor for control problems, such as under speed or over speed, which may result from failures in the LM2917-8 or motor drive circuitry.

independent of the tachometer signal using the motor's
10 back EMF. Periodically (every 0.5 second) the motor drive
is turned off for approximately 6 millisecond and the
voltage at the motor terminals is measured. Though this
does not result in as precise an indication as the
tachometer signal, gross failures can be determined, such
15 as when the tachometer signal is lost.

Blood Pressure Measurement

The blood pressure measurements include the venous, arterial and expansion chamber (for Single Needle treatment) pressures. All three measurement systems include identical hardware. Each pressure is sensed by a SenSymb SCX15 gauge sensing pressure transducer mounted to the Blood Pump Power board. Each transducer is connected to a differential amplifier designed to provide a measurement range from -400 to +600 mmHg. The output of each amplifier drives an A/D input channel of the Blood Pump Control system, at which point it is converted to a 10 bit digital value. The calibration of each of the pressure inputs is handled entirely in software, requiring that the design of each amplifier guarantee that its output remain within the A/D input range of 0 to +5 V over the input pressure range and over all component tolerances.

35 Heparin Delivery

Heparin delivery is accomplished by stepping a stepper motor which rotates the pinion of a rack and pinion mechanism. The pinion moves the rack, and the

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Level Adjust

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Temperature Control

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5 The control of the dialysate flow rate is described in the following Flow Control section of the UF/PROP controller description.

Flow Control

10 The UF/PROP system 516 controls the dialysate
flow rate by controlling the time between the switching of
the flow equalizer (FIG. 1, item 54) valves (provided that
all the fluid within the flow equalizer chambers has been
exchanged).

15 The average flow equalizer volume is calibrated
(measured) during the Calibration Mode. The time between
the switching of the flow equalizer valves (FIG. 1, items
142-149) is scaled by the host (according to the
calibration constant) and stored in the UF/PROP controller
20 so that the user entered desired dialysate flow rate is
achieved.

To guarantee the complete fluid transfer to/from the flow equalizer chambers (FIG. 1, items 126, 128) two flow sensors (FIG. 1, items 58, 59; described in further details hereinbelow) are located within the fluid path to detect the absence of dialysate flow. The time at which both sensors detect no flow has been defined as end of stroke. The end-of-stroke time has been defined as the time between the moment an end of stroke was sensed and the desired flow equalizer valve switch time. Since the supply pump speed controls the instantaneous dialysate flow rate, the UF/PROP controller servos the supply pump speed in order to maintain a consistent end-of-stroke time.

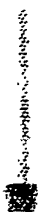
35 Since the flow equalizer volume is calibrated and
the end-of-stroke time is controlled, the UF/PROP system
516 can accurately control the dialysate flow rate to the
user-entered value.

5 UF flow meter valves (FIG. 1, items 142-149). The UF/PROP. system controls the accumulated UF volume by counting the number of UF flow meter strokes.

10 host (80286 microprocessor) passes to the UF/PROP controller (number of seconds between valve switches) is scaled so that the user-entered UF removal rate is achieved.

15 volume is scaled by the UF flow meter's stroke volume to a number of UF meter strokes. The host passes the number of UF meter strokes to the UF/PROP controller. The UF/PROP controller will then switch the UF flow meter valves and decrement the stroke number, at the desired rate, as long as the stroke number is greater than zero. The host can then calculate the UF removal volume accumulated by subtracting the number of UF flow meter strokes remaining, scaled by the stroke volume, from the operator-entered desired UF removal volume. The accumulated volume is displayed during the Dialyze Mode. This value remains during the Rinse Mode and is cleared upon the entry of the Self Test Mode.

30 the Self Test Mode, no UF removal occurs except during specific self tests performed by the machine (no UF volume is accumulated). In the Prime Mode, the UF removal rate is set by the operator and is no greater than 0.5 L/h (no UF volume is accumulated). During the Dialyze Mode, the
35 UF removal rate is set by the operator and is limited to between 0.1 and 4.00 L/h. For UF removal to occur in the Dialyze Mode the following conditions must be met:



1. A target UF volume and a UF rate have been entered (or treatment time and target UF volume have been entered and a machine-calculated UF rate is used).
2. The blood pump is pumping.
3. The target UF volume has not been reached.

Conductivity Monitoring

Conductivity is used as a measurement of the electrolyte composition of the dialysate. Conductivity is usually defined as the ability of a solution to pass electrical current. The conductivity of dialysate will vary due to the temperature and the electrolyte composition of the dialysate.

The UF/PROP system measures conductivity at two locations (conductivity probes) in the hydraulic circuit using alternating-current resistance measurements between each of the conductivity probes' electrode pairs. The two flow path locations are at the "A" conductivity probe (FIG. 1, item 38) and the "B" conductivity probe (FIG. 1, item 46).

One electrode of each of the probes is stimulated with a 1 kHz ac voltage while the other is held at virtual ground (current sense electrode). Two voltages are produced by the resistance measurement circuit. The ratio of the voltages is proportional to the resistance of the respective probe. The resistance of the probes has been modeled as a function of temperature and conductivity. Since each of the conductivity probes contains a thermistor, the temperature at each of the probes is known. Using the model that was derived for the probes, the temperature measured at the probes, and the resistance measured at the probes the conductivity is calculated.

Each conductivity probe is calibrated during the Calibration Mode, at which time the resistance of each probe is measured at a known conductivity and temperature (by the use of an external reference meter) for the

scaling of the probe's base resistance in the relationship described previously.

The UF/PROP system 516 generates alarms from the measured conductivities at the "A" and "B" probes. Since these conductivity alarms are used to verify the proportioning ratios, the alarms are generated by testing the "A" conductivity and the "B" portion of the total conductivity ("B" portion = "B" conductivity - "A" conductivity). The alarm limits are determined from the concentrate treatment mode and are stored in the UF/PROP controller by the host. Therefore only during a bicarbonate dialysis treatment would the host store a non-zero expected "B" conductivity portion.

The host determines the concentrate treatment mode during the Rinse Mode by reading the "A" and "B" rinse port interlock information. If the "B" concentrate line is not on the "B" rinse port, a bicarbonate treatment is initiated by setting the proportioning ratios and the conductivity alarm limits appropriately. Conversely, if the "B" concentrate line is coupled to the "B" rinse port, an acetate treatment is initiated. Upon exiting the Rinse Mode the concentrate treatment mode is set for the remainder of the dialysis treatment (concentrate treatment mode is only adjusted in the Rinse Mode).

Temperature Monitoring

The UF/PROP system 516 measures the dialysate temperature at three locations in the fluid path. The first location is directly after the heater (FIG. 1, item 18) and this thermistor, the heater thermistor (FIG. 1, item 20), is used for the primary temperature control feedback. The next two thermistors (FIG. 1, items 110 and 124) are contained in the "A" and "B" conductivity probes (FIG. 1, items 38 and 46, respectively). These temperatures are used to temperature-compensate the "A" and "B" conductivity measurements. The "B" conductivity temperature is also used to generate a backup high temperature alarm.

The temperature measurement circuit used throughout the machine consists of a voltage divider with a Thevenin Equivalent circuit of 3062 Ω in series with a 7.55 V supply. The voltage divider circuit when connected to the thermistor used in the temperature measurement system referenced to ground produces the voltage to temperature relationship of

$$T(^{\circ}\text{C}) = (3.77\text{V} - V_{\text{temp}})(12.73)(^{\circ}\text{C}/\text{V}) + 37^{\circ}\text{C}.$$

The tolerance on the component parameters used in the temperature measurement system can be as great as 10%, therefore the temperature-to-voltage relationship must be calibrated. Calibration of the temperature measurements is a two-point calibration done at 30 and 40 $^{\circ}\text{C}$. The calibration procedure results in a calibration constant for both the slope and the offset for each temperature probe/circuit.

In the UF/PROP controller the voltage described above as V_{temp} is measured for the three temperature probes in its system on a scheduled basis (every 0.2 seconds for the "A" and "B" temperatures and every 1 second for the heater temperature).

The temperature that is displayed on the video screen is measured at the primary ("dialysate") conductivity probe, located just before the bypass valve (see FIG. 1), by the I/O controller.

Input/Output Control System

Nine subsystems are controlled or monitored by the I/O control system 514. They are:

- Air detector
- Blood leak detector
- Dialysate pressure monitor
- Heparin pump overspeed monitor
- Bypass system and flow sensor
- Conductivity monitor
- Temperature monitor
- Line clamp
- Power fail alarm



One output is fed from the I/O Electrical Power board 536 to an A to D converter and read by the 8040 microcontroller on the I/O Controller board 514. This value is averaged over a 400 msec time period and reduced by multiplying it by 15/16 and subtracting 50 mV (for noise immunity). This new value is then converted back to an analog level to be used as an alarm limit. This software generated limit is compared to the rectified dc signal from the detector. The output state of this comparator is monitored by the on-board 8040. When the unaveraged signal falls below the software generated limit for longer than a calibratable time period, an alarm occurs. Sensitivity of the software alarm is 10 microlitres at 300 mL/min blood flow.

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Dialysate Pressure Monitor

The dialysate pressure is sensed by a resistive bridge pressure transducer (FIG. 1, item 64) located just upstream of the dialyzer. The transducer is connected to a differential amplifier circuit on the I/O Hydraulics Power board 534 designed to provide a measurement from -400 to +500 mmHg. The differential amplifier circuit also has an offset input that comes from a software calibratable variable, DAC_OFFSET. The output of the amplifier drives an A/D input channel of the I/O Controller system, at which point it is converted to a 10 bit digital value. The calibration of the pressure input is handled entirely in the software, requiring that the design of the amplifier guarantee that the output remains within the A/D input range of 0 to +5 V over the input pressure range and over all component tolerances.

Heparin Pump Overspeed Monitor

To ensure that the heparin pump does not exceed its set speed, the I/O controller board software monitors a clock signal from the Blood Pump Controller board that is equivalent to 1/4th the heparin pump step rate. In the event that a heparin pump overspeed occurs, the I/O controller board disables the heparin pump via a hardware line that goes to the Blood Pump Power board and notifies the host of the alarm.

To determine if the heparin pump is running at the correct speed, the time required for ten clock signals to occur is measured (and stored in variable HEPTIMER) and compared against a minimum time period that is set by the host (HP_P_MIN). If the measured period is less than the host set limit, a normal-speed alarm occurs. The host is notified of the normal-speed alarm and the heparin pump is disabled via the hardware line to the Blood Pump Power board.

When the heparin pump rate changes, the host resets the minimum time period, HP_P_MIN, and the I/O controller waits for the first clock signal to restart the

The I/O controller board 514 also monitors the total amount of heparin delivered in the high-speed bolus mode. When it receives clock signals at a rate faster than a predetermined speed, it assumes the pump is operating in the high-speed mode. It has a high-speed counter, 'H_SPD_CNTR, that is set by the host. If more high-speed counts occur than are in the counter, a high speed alarm occurs. The host is notified of the high-speed alarm and the heparin pump is disabled via the hardware line to the Blood Pump Power board.

15 Bypass System and Flow Sensor

The bypass mode is initiated when a primary dialysate alarm is detected by the I/O Controller board, when a redundant dialysate alarm is detected by the UF/PROP Controller board 516, when the host requests

20 bypass, or when the manual bypass button is pushed.

The bypass valve (FIG. 1, item 66) is in the bypass position when deenergized. It is driven from the nominal +24 V supply with a straight on/off transistor control on the I/O Hydraulics Power board.

25 To verify that there is not a failure in the
bypass system, a flow sensor (FIG. 1, item 62) located
upstream of the dialyzer and just downstream of the bypass
valve checks for flow. If flow exists during bypass, a
Bypass Fail Alarm is triggered and the machine is put in
30 the safe, nonfunctional, Shutdown state. If there is no
flow when not in the bypass mode, a No Flow alarm is
generated. (Further details on the flow sensor are
provided hereinbelow.)

This flow sensor consists of two thermistors.

35 The first is a reference thermistor used to determine the fluid temperature. The second thermistor uses thermal dilution to sense the fluid flow. The voltage outputs from the thermistors on the I/O Hydraulics Power board 534

5 divider guarantees that the output remains within the A/D
input range of 0 to +5 V over the input temperature/flow
range and over all component tolerances.

Conductivity Monitoring

10 The dialysate conductivity probe (FIG. 1, item
60) comprises two stainless steel probes inserted into the
flow path just prior to the dialyzer. The drive signal
for the conductivity probes is a capacitive-coupled
squarewave generated on the I/O Hydraulic board 534. This
15 signal is sent to the conductivity probe and a monitor
circuit. Both the monitor circuit and the return signal
are rectified and filtered. These dc values are routed to
I/O Controller board 514 along with the temperature
signal.

On the I/O controller board, the temperature, conductivity, and conductivity reference signals are input to an A-to-D converter that is monitored by the on-board 8040 microcontroller. The microcontroller calculates the temperature-compensated conductivity. This value is then displayed on the CRT as the conductivity in milliSiemens per centimeter (mS/cm).

Temperature Monitoring

The thermistor (FIG. 1, item 168) installed in
30 the dialysate conductivity probe (FIG. 1, item 60) changes
its resistance in response to changes in temperature. The
values for dialysate conductivity and temperature measured
at this probe are displayed on the CRT and are used to
generate the primary alarms for patient safety. If either
35 value is outside preset alarm limits, a bypass condition
and an audio alarm occur.

The thermistor is wired to a resistor divider network on the I/O hydraulic board. The output of this

divider network is sent to the Miscellaneous I/O controller board 514 where it is monitored by the on-board 8040 microcontroller via an A-to-D converter network. From this information, the controller calculates the temperature using offset and gain parameters stored in the host from the calibration. Calibration of the temperature measurement is a two-point procedure done at 30 and 40°C.

Line Clamp

The line clamp opens with a solenoid and clamps with a spring return. When the solenoid is not energized, the spring pushes the plunger away from the solenoid. This causes the plunger to clamp the blood tubing. When the solenoid is energized, it pulls the plunger in with enough force to overcome the spring force. This unclamps the blood tubing. In the event of a power failure, the solenoid is de-energized causing the blood line to be clamped.

The solenoid is controlled by the line clamp board. On the line clamp board is a pulse-width modulated current controller. This circuit applies sufficient current to the line clamp solenoid to pull in the plunger. After pull in, the controller ramps the current down to a level capable of holding the line clamp open. This cut-back in current reduces the temperature of the solenoid, resulting in a more reliable device. Also located on the line clamp board, is a quick-release circuit which helps dissipate the power stored in the solenoid. The result of this circuitry is a quicker and more repeatable clamp time over the life of the machine.

Control for the line clamp comes from the Miscellaneous I/O controller board 514 via the I/O power board 536. The control signal for clamp and unclamp is optically coupled on the line clamp board. This provides electrical isolation between the high voltage used to operate the line clamp and the low voltage used for the control signals from the microprocessor.

Power Fail Alarm

The power-fail alarm circuitry is located on the Misc I/O Electrical Power board 536, and includes a CMOS power state flip flop powered by a 1 Farad (F) capacitor. The flip flop, which can be toggled by either the front panel power button or the 80286 system controller, provides the following functions:

- 10 • Whenever power is not supplied to the machine (i.e., when the +5 V supply is off) and the flip flop is in the on state, power is supplied from the 1 F capacitor to the audio alarm device. Whenever power is
- 15 supplied to the machine, the flip flop's output state is ready by the 80286, which provides indication of the intended machine power state. Also, when the flip flop is in the on state, power is supplied to the front
- 20 panel power switch LED.

- The first function listed above results in the power fail alarm. The alarm occurs
- 25 either if the machine loses power while it is running, or if the front panel power button is pressed "on" when there is no power supplied to the machine. The alarm
- 30 can be silenced by toggling the flip flop off via pressing "off" the front panel power button.

Additional details of the preferred computer system 500 are provided, inter alia, in Appendix C.

Reference has been made to five appendices (A - E) which form part of the specification hereof and which further detail certain aspects of the preferred embodiment.

Bypass Valve Flow Sensor

The dialysis machine of the present invention includes a bypass valve flow sensor which is utilized to confirm that dialysate flow to the dialyzer is completely interrupted during bypass. The bypass valve flow sensor comprises a first thermistor 202 and a second thermistor 204, as shown schematically in FIG. 2. FIG. 2 also shows in simplified schematic form the flow equalizer 54, the bypass valve 166, and a dialyzer 208. The first and second thermistors 202, 204 are of a negative-temperature-coefficient (NTC) type known in the art. The first, or "sensing," thermistor 202 is energized with a 20 mA constant current while the second, or "reference," thermistor 204 is driven with a negligibly small current. The electrical resistance of both thermistors 202, 204 is measured using electronic circuitry (not shown). The resistance $R(T)$ of each thermistor 202, 204 at a given temperature T is determined by the following relationship:

$$R(T) = (K_1) \cdot \exp(-K_2 \cdot T)$$

where K_1 and K_2 are constants. Hence, the thermistor resistance is a function of its temperature.

Since the electrical power input to the reference thermistor 204 is negligibly small, the temperature of the reference thermistor 204 will be substantially equal to that of the liquid surrounding it, whether flowing or not, at all times. The sensing thermistor 202, on the other hand, is powered by a substantial constant current. Hence, the sensing thermistor 202 will undergo appreciable self-heating. During conditions of no dialysate flow past the thermistors 202, 204, such as during bypass, the temperature of the reference thermistor 204 will be equal to the temperature of the dialysate surrounding the reference thermistor 204. However, the no-flow temperature of the sensing thermistor 202, as a result of self-heating, will be substantially greater than the

5 The temperature of the sensing thermistor 202, while greater than that of the reference thermistor 204, will be somewhat lower than the temperature thereof would otherwise be during no-flow conditions. This is because dialysate flowing past the sensing thermistor 202 will
10 conduct a portion of the self-heating energy away from the thermistor 202, thereby lowering the temperature of the thermistor 202. The bypass flow sensor can detect flow as low as about 3 mL/min.

Since the sensing thermistor 202 is driven with a
15 constant-current source, the amount of power input into
the thermistor 202 is limited according to the
relationship $P = I^2 R$. As a result, the ultimate self-
heating temperature achievable by the sensing thermistor
202 will self-limit, thereby protecting the sensing
20 thermistor 202 from a damaging thermal runaway condition.

The two thermistors 202, 204 are calibrated by measuring the electrical resistance across them individually under conditions of no dialysate flow at both 30 and 40°C. A mathematical relationship is utilized during calibration which equates the resistance of the sensing thermistor 202 and the resistance of the reference thermistor 204 at any temperature between 30 and 40°C. If $R_h(t)$ represents the sensing thermistor resistance at $T = t$, and $R_r(t)$ represents the reference thermistor resistance at $T = t$, then, at no dialysate flow, $R_h(t) = A \cdot R_r(t) + B$, where A and B are calibration constants determined by the equations shown below (since $R_h(30)$, $R_h(40)$, $R_r(30)$, and $R_r(40)$ are measured during calibration):

35

$$R_h(30) = A \cdot R_r(30) + B$$

$$Rh(40) = A \cdot Rr(40) + B$$

Hence, if the thermistor resistances are equal, then the electronic circuitry (not shown) coupled to the thermistors 202, 204 recognizes such equal resistance as indicating a "no dialysate flow" condition. However, if the resistances of the first and second thermistors 202, 204 are not equal, which occurs when any dialysate flow (greater than about 3 mL/min) is passing by the first and second thermistors 202, 204, the electronic circuitry recognizes a "dialysate flow" condition. Therefore, whenever the machine is in bypass, if the electronic circuitry senses that the resistances across the first and second thermistors 202, 204 is unequal, indicating flow, the machine will trigger an alarm condition to notify the operator of failure of the bypass valve 66.

The advantage of the bypass valve flow sensor 62 as described hereinabove is that it enables the dialysate bypass valve 66 to be tested functionally, i.e., via a determination of whether or not the bypass valve 66 actually shut off the flow of dialysate to the dialyzer 208. This is the first known use of such a flow sensor in a hemodialysis machine. Other bypass valve sensors known in the relevant art merely test whether or not, for example, the bypass valve has been energized. One example of such a mechanism is a sensor that determines whether or not a solenoid controlling the valve has shifted position in response to application of current thereto. In the present invention, in contrast, the bypass valve flow sensor verifies that the bypass valve 66 has actually seated properly.

Further details and engineering data pertaining to the bypass valve flow sensor can be found in Appendix A, pp. ET 52 - ET 57 ("Flow Sensing"), ET 75 ("Bypass Fail Alarm"), Hydraulic Theory 9 ("Flow Sensor" and "Bypass Valve"), EC 13 ("Bypass Fail Detection"), and EA 11 ("Bypass System and Flow Sensor").

No-Ultrafiltration-During-Bypass Sensor

This feature, shown schematically as item 70 in FIGS. 1 and 2, utilizes a first and a second thermistor 210, 212 in a manner similar to the bypass valve flow sensor 62 discussed above. The first and second thermistors 210, 212 are exposed to dialysate flowing through conduit 174 just downstream of the dialyzer 208 but upstream of the bypass line 166.

This feature 70 is utilized during automatic testing of machine functions, as controlled by the machine's microprocessor. During such a test, dialysate flow is bypassed from the dialyzer 208. The flow equalizer 54 volumetrically matches the volume of dialysate that would ordinarily enter the dialysate compartment (not shown) of the dialyzer 208 with the volume of dialysate exiting the dialyzer 208. During bypass, the volume of dialysate passing through the bypass valve 66 and bypass line 166 is equal to the volume passing back through the flow equalizer 54 via line 158. Since the UF line 178 is occluded by the UF flow meter 76, any dialysate flow past the first and second thermistors 210, 212 in either direction must be due to dialysate flow passing through the dialyzer membrane (not shown) into the blood compartment (not shown) thereof or from the blood compartment thereof into the dialysate compartment thereof. If such flow is detected, the machine triggers an operator alarm.

Further details and engineering data pertaining to the no-UF-during-bypass sensor can be found in Appendix A, pp. ET 52 - ET 57 ("Flow Sensing"), and Hydraulic Theory 10 ("Flow Sensor").

Automatic Testing of Ultrafiltration Function

This feature is utilized during automatic testing of machine functions that occurs before the machine is used for patient treatment. This automatic test is controlled by the machine's microprocessor along with other self-test routines. One example of when

ultrafiltration-function testing is automatically engaged is when the machine is in rinse and producing dialysate without any prevailing dialysate alarms such as temperature and conductivity. A complete self-test routine begins when the operator touches the "test" button on the touch screen before beginning a dialysis treatment. (See Appendix A, pp. Operation 3 (Step 10).)

In order to test the ultrafiltration function, the dialysate lines 174, 206 (FIGS. 1 and 2) must be connected together, enabling dialysate to circulate therethrough without having to use a dialyzer. Because a dialyzer is not used, the flow equalizer 54 discharges a volume of dialysate into line 206 that is substantially equal to the volume of dialysate passing through line 174. Hence, a volumetrically closed loop is formed wherein dialysate exits the flow equalizer 54 through the outlets 156 thereof, passes through lines 206 and 174 coupled together, and reenters the flow equalizer 54 through the inlets 154 thereof. Included in this closed loop is the UF flow meter 76. The UF flow meter 76 permits a discrete volume of fluid to be removed from the closed loop. Also included in the closed loop is the dialysate pressure transducer 64.

To perform the test, the UF flow meter 76 removes about 3 mL of dialysate from the closed loop. This removal of 3 mL is sufficient to lower the dialysate pressure measured at the transducer 64 by about 200 to 300 mmHg. If there are no leaks in the closed loop, this lowered pressure will remain substantially constant. The machine will monitor the depressed dialysate pressure for about 30 seconds during which the pressure must remain within a ± 50 mmHg limit of the initial low value. If the pressure rises and passes a limit, the machine will trigger an operator alarm.

Further pertinent details concerning this feature and the UF flow meter can be found in Appendix A, pp. EA 7 ("UF Removal Control"), EC 25 - EC 26 ("UF Protective System"), EC 29 - EC 30 ("UF Test"), M 6 ("Flow

Equalizer"), M 9 ("UF Removal Flowmeter"), M 17 - M 19
("Dialysate Flow Control System Performance"), and
Hydraulic Theory 7 ("Flow Equalizer").

5 Automatic Setting of Proportioning Mode
 Based Upon Connection of Concentrate Lines

 As described hereinabove, the concentrate rinse
fittings, e.g., the "A" and "B" rinse fittings 28, 30,
respectively (FIG. 1), are equipped with proximity sensors
10 which sense whether or not the corresponding concentrate
lines 94, 104, respectively, are connected thereto. Such
information regarding whether or not a concentrate line is
coupled to a corresponding rinse fitting is utilized by
the machine's microprocessor to set the correct
15 proportioning mode, e.g., acetate or bicarbonate dialysis.

 For example, during the machine's "dialyze" mode,
if the machine's microprocessor receives a signal
indicating that the "B" concentrate line 104 is coupled to
the "B" rinse fitting 30, the machine will operate only
20 the "A" concentrate pump 22. If the "B" concentrate line
104 is not coupled to the "B" rinse fitting 30, the
machine will operate both the "A" and "B" concentrate
pumps 22, 40, respectively. See Appendix A, pp. EA 5 -
EA 6 ("Proportioning Control"), and EA 7 - EA 8
25 ("Conductivity Monitoring").

 Such connections of the "A" and "B" concentrate
lines 94, 104 also dictate the proportioning ratio of "A"
concentrate. During acetate dialysis, the volumetric
ratio of "A" concentrate to dialysate is 1:35. During
30 bicarbonate dialysis with Drake Willock brand
concentrates, for example, the volumetric ratio of "A"
concentrate to dialysate is 1:36.83. Hence, the machine
automatically adjusts the pumping rate of the "A"
concentrate pump 22 in response to whether or not the "B"
35 concentrate line 104 is coupled to the "B" rinse fitting
30.

 The proximity sensors are shown in FIGS. 3A and
3B. FIG. 3A is an isometric depiction of, for example,

the "A" and "B" rinse fittings 28, 30 situated on the right side 218 of the machine. (See Appendix A, pp. Components & Functions 11). On the annular surface 220, 222 of each rinse fitting is an angled depression 224, 226, respectively. As depicted in the right-side elevational view of the "A" rinse fitting 28 shown in FIG. 3B, beneath the angled depression 224 is a light-emitting diode (LED) 228 (shown schematically). A photosensor 230 of a type known in the art is also situated beneath the angled depression 224. The LED 228 is energized with a pulsatile signal in the kilohertz range (so as to not be fooled by 60 Hz illumination). The LED 228 and photosensor 230 are oriented such that light 232 from the LED 228 passes through a first face 234 of the angled depression 224, is reflected off an annular surface 236 of a connector 238 on the end of the "A" concentrate line 94, passes through a second face 240 of the angled depression 224 to be sensed by the photosensor 230.

So long as the photosensor 230 receives reflected light from the LED 228, the machine's microprocessor circuitry (not shown) "interprets" such a condition as indicating that the "A" concentrate line 94 is coupled to the "A" rinse fitting 28. If the light 232 does not reflect so as to impinge the LED 230, the microprocessor circuitry "interprets" such a condition as indicating that the "A" concentrate line 94 is not coupled to the "A" rinse fitting 28 but is coupled to, e.g., a supply of "A" concentrate.

Prediction of Dialysate Conductivity

The software controlling the operation of the machine's microprocessor includes a routine for predicting correct dialysate conductivity. Such predictions automatically reflect the particular brand of concentrate being used, since different groups of concentrate brands require different proportioning to yield a dialysate having a correct ionic strength and electrolytic profile.

Various groups of concentrates are currently marketed. These include: (1) bicarbonate concentrates manufactured by Cobe (utilizable for variable sodium and variable bicarbonate dialysis and intended to be diluted at a ratio of 1 part "A" concentrate to 1.43 parts "B" concentrate to 45 parts dialysate); (2) bicarbonate concentrates manufactured by Drake Willock (utilizable for variable sodium dialysis only and intended to be diluted at a ratio of 1 part "A" concentrate to 1.83 parts "B" concentrate to 36.83 parts dialysate); and (3) acetate concentrates intended to be diluted at a ratio of 1 part acetate concentrate to 35 parts dialysate. The machine is "instructed" or programmed by a technician as to which brand of concentrate is being used. Such programming is done using the touch screen with the machine in the "calibration" mode. See, e.g., Appendix A, pp. Preventive Maintenance 8, Calibration Screen #1, item 17.

The software utilizes a different algorithm for each group of concentrates and for acetate or bicarbonate dialysis using concentrates within any single group, to calculate a baseline "calculated" conductivity value. Each algorithm requires that certain data be entered by the operator using the touch screen. For example, for bicarbonate dialysis, the machine will "ask" the operator to enter baseline (i.e., not adjusted up or down relative to a standard, or non-variable, proportioning ratio) values for sodium and bicarbonate ion concentrations. Assuming proper proportioning of the concentrates, the machine will determine a "calculated" dialysate conductivity. Before beginning a dialysis treatment, when the machine is proportioning concentrate and producing dialysate at the proper temperature, the touch screen will display an "actual" dialysate conductivity value as measured by the dialysate conductivity probe 60 (FIG. 1) and "ask" the operator to verify the correctness of that value against the value stated to be correct by the concentrate manufacturer on the concentrate label. See Appendix A, pp. Operation 3. If the operator responds

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5 regard the displayed baseline value as correct since the operator "told" the machine that the displayed value is correct. The machine will also calculate the ratio of the displayed baseline value over the calculated baseline value and will multiply any subsequently determined
10 calculated value during the dialysis treatment by the ratio to obtain new "expected" conductivity values. For example, for variable sodium dialysis, the operator will program the variable sodium profile to be delivered to a patient over the course of the upcoming dialysis

For Cobe brand bicarbonate concentrates, the
25 calculated baseline dialysate conductivity is determined
by the following algorithm:

30 where the operator enters the baseline concentrations of sodium and bicarbonate using the touch screen.

calculated conductivity in mS/cm = $.1038[\text{Na}^+] - .54$

where the operator enters the baseline concentration of sodium using the touch screen.

For all brands of acetate concentrates, the calculated baseline conductivity of acetate dialysate is determined by the following algorithm:

$$\text{calculated conductivity in mS/cm} = .0895[\text{Na}^+] + 1.41$$

where the operator enters the baseline concentration of sodium using the touch screen.

For bicarbonate dialysis, the machine will also automatically set alarm limits around the conductivity measured at the "A" conductivity probe 38 (FIG. 1) in a similar manner. (During acetate dialysis, the conductivity at the "A" conductivity probe 38 is equal to the conductivity at the dialysate conductivity probe 60, so setting of alarm limits around the conductivity at the "A" conductivity probe is not necessary.) For bicarbonate dialysis, the machine "assumes" that the "A" concentrate is being proportioned properly (at the correct proportioning ratio), based upon the operator having verified that the displayed dialysate conductivity value is correct. The machine determines a baseline "calculated" conductivity at the "A" conductivity probe based on baseline sodium and bicarbonate concentrate information provided by the operator via the touch screen. The machine then calculates a ratio of the actual conductivity as measured at the "A" conductivity probe 38 over the calculated conductivity at the "A" conductivity probe. Then, whenever the machine changes the sodium concentration during the course of a dialysis treatment as programmed by the operator, the machine will determine a new calculated conductivity value and apply said ratio to determine a new "expected" conductivity value at the "A" conductivity probe.

For Cobe brand bicarbonate concentrates, the calculated baseline conductivity at the "A" conductivity probe is determined by the following algorithm:

$$\text{calculated conductivity in mS/cm} = [-.110 + 9.7 \times 10^{-5} ([\text{Na}^+] - 130)][\text{HCO}_3^-] + [15.04 + .105([\text{Na}^+] - 130)]$$

5 where the operator enters the baseline sodium and bicarbonate concentrations using the touch screen.

For Drake Willock brand bicarbonate concentrates, the calculated baseline conductivity at the "A" conductivity probe is determined by the following

10 algorithm:

calculated conductivity in mS/cm = $.1114[\text{Na}^+] - 5.90$

where the operator enters the baseline sodium

15 concentration using the touch screen.

Further information on this feature is in Appendix A, pp. ET 23 - ET 28 ("UF/Proportioning System"), and EC 34 ("Conductivity Verify Test").

20 Controlling Flow Equalizer End-Of-Stroke Time

As discussed hereinabove, the flow equalizer 54 (FIG. 1) operates via a four-phase cycle. In the first and third phases, "pre" compartments 130, 132 and "post" compartments 134, 136 alternately fill and discharge their contents. In the second and fourth phases, the valves 142-149 controlling liquid ingress and egress from the "pre" and "post" chambers are all in the off position for about 125 msec. During these brief second and fourth phases, therefore, no dialysate is flowing to the dialyzer.

Preferably, at the beginning of the second and fourth phases, the diaphragms 138, 140 will have already reached end of stroke. Further preferably, the diaphragms 138, 140 will have reached end of stroke at the same instant.

End of stroke is the moment when, for example, the "post" compartment 134 has reached a completely full condition during a phase after starting from a completely

empty condition at the start of the phase. In accordance with the above, it is preferable, for example, that the filling of the "post" compartment 134 reach end of stroke at the same instant as filling of the "pre" compartment 132 during a phase and that filling of the "post" compartment 136 reach end of stroke at the same instant as filling of the "pre" compartment 130 during a different phase. Such simultaneous reaching of end of stroke eliminates ultrafiltration inaccuracies that otherwise could result if the "pre" and "post" compartments (e.g., 130 and 136) being, say, filled during a phase are not filled at exactly the same rate.

Since valves 143, 144, 146, and 149 all turn on at the same instant that valves 142, 145, 147, and 148 turn off, and vice versa, and since each pair of compartments 130, 134 and 132, 136 have exactly the same volume, it is possible to have pairs of compartments (130, 136, and 134, 132) reach end of stroke at the same instant. However, assuming that each chamber 126, 128 has exactly the same flow restriction therethrough, achieving simultaneous end of stroke requires at least that pressures at the inlets 154 be matched and that pressures at the outlets 156 be matched.

To achieve such pressure matching, the inlets 154 are provided with an input pressure equalizer 52 and the outlets 156 are provided with an output pressure equalizer 56, as shown in FIG. 4. The input pressure equalizer 52 is comprised of a flexible diaphragm 246 separating first and second enclosed cavities 248, 250. A stem 252 is attached to the center of the diaphragm 246 and terminates with a flow-restricting element 254. The output pressure equalizer 56 is likewise comprised of a flexible diaphragm 256 separating first and second enclosed cavities 258, 260. Extending from the center of the diaphragm 256 on both sides thereof are stems 262, 264, each terminating with a flow-restricting element 266, 268.

Dialysate from the supply pump 42 flows unimpeded through the second cavity 250 on into a "pre" compartment

of the flow equalizer 54. The first cavity 248 passes dialysate from the dialyzer to a "post" compartment of the flow equalizer 54. The first cavity 248 is also part of a loop including the dialysate pressure pump 72. This hydraulic configuration has been found to maintain identical pressures and therefore identical flow rates at the inlets 154 of the flow equalizer 54.

With respect to the output pressure equalizer 56, when the pressure is equal in both cavities 258, 260, the flow rates through each is identical. When the pressure, say, in the first cavity 258 exceeds that in the second cavity 260, the flow-restricting element 268 impedes flow into line 150, thereby increasing the pressure in the second cavity 260. This hydraulic configuration has been found to maintain identical pressures and therefore identical flow rates at the outlets 156 of the flow equalizer 54.

Therefore, since pressures and flow rates are identical as described above, both diaphragms 138, 140 (FIG. 1) come to end of stroke at the same time.

The time required to attain end of stroke can also be controlled. The dialysate flow rate is set by the operator using the touch screen. This flow rate determines the shift frequency of the valves 142-149. The higher the dialysate flow rate, the more frequently the valves 142-149 shift. However, a machine malfunction or occlusion of a hydraulic line could cause an excessive end-of-stroke time for one or both diaphragms 138, 140.

As discussed hereinabove, flow sensors 162, 164 (FIG. 1) are provided at the outlets 156 of the flow equalizer 54 for verifying when the diaphragms 138, 140 have reached end of stroke. When a diaphragm 138 or 140 has reached end of stroke, the corresponding flow sensor 162 or 164, respectively, sends a no-flow signal to the microprocessor. The flow sensors 162, 164 are each comprised of a reference and sensing thermistor (not shown) and work in a manner similar to the bypass valve flow sensor 62 and sensor 70 discussed hereinabove.

If the valves 142-149 receive a signal from the microprocessor to shift before the flow sensors 162, 164 have detected end of stroke, the valves are prevented by the microprocessor from shifting until the end-of-stroke signal(s) are received by the microprocessor. In the event of an excessively long end-of-stroke time, the microprocessor triggers an increase in the pumping rate of the supply pump 42 to speed up the time to end of stroke.

Controlling the end-of-stroke time not only increases the UF removal accuracy of the machine but also keeps dialysate flowing through the dialyzer as much as possible to maintain the desired osmotic gradient therein, and ensures accurate proportioning and mixing of concentrates with water to form dialysate.

Further details on this feature can be found in Appendix A, pp. EA 6 ("Flow Control"), ET 28 - ET 32 ("Dialysate Flow Control"), M 17 - M 19 ("Dialysate Flow Control System Performance"), and Hydraulic Theory 6-8 ("Input Pressure Equalizer," "Flow Equalizer," "Output Pressure Equalizer," "End of Stroke Sensors").

Timed Mode Initiate From Power-Off

The microprocessor programming as described herein can be conventionally implemented to accomplish a timed mode initiation from a power-off condition. As is known in the art, machine disinfection, rinsing, and "coming up" on concentrate and temperature to produce dialysate in a condition to begin treatment are burdensome tasks that typically must be performed before the start of a treatment day. In large clinics having multiple dialysis machines, performing these tasks manually can require a substantial expenditure of time and other personnel resources.

The electronics of the machine are continuously powered, even when the machine is "off," unless the mains switch has been turned off or unless the machine's power cord is unplugged. As a result, the programming is readily adapted to include use of the key pad display on

the touch screen by the operator to enter the desired time at which certain designated machine functions are automatically initiated. These functions include disinfection (such as heat-cleaning), rinsing, and beginning the production of dialysate at the desired temperature and ionic strength for dialysis treatment.

Preservation of Machine Parameters During Brief Power-Off

The hemodialysis machine of the present invention is provided with a battery back-up which preserves certain operational parameters previously entered by the operator in the event of a temporary power interruption (less than about 20 minutes). Upon restoration of power, the machine is in the stand-by mode.

All of the following parameters are saved in static RAM every 30 seconds or upon any major change in machine state. Upon restoration of power after less than 20 minutes after the last "time stamp" (time at which parameters were saved) by the machine, the following parameters are restored:

Temperature correction
Accumulated UF volume removed
Desired UF removal volume
UF removal rate
UF override flag
Current machine state
Previous machine state
Self-test pass/fail flag
Time stamp
Prescribed dialysis time
Elapsed treatment time
Prescribed or elapsed treatment time display flag
Manual or calculated UF rate display flag
Heparin pump rate
Accumulated blood
Accumulated heparin
Alarm window limits for conductivity,
temperature, prescribed treatment time,
heparin, etc.
Profile settings for variable sodium and
bicarbonate

Upon restoration of power, the "dialyze" mode can be restored by the operator touching the appropriate "button" on the touch screen.

5 Drip-Chamber Level Adjusters

As is known in the art, hemodialysis treatment requires use of an extracorporeal blood-line set. Blood-line sets are available from a number of manufacturers in a variety of different configurations. Virtually all blood-line sets have at least a venous drip chamber. Usually, an arterial drip chamber is also included. The drip chambers serve several functions, including providing a means for removing air and foam from the extracorporeal blood before the blood is returned to the patient, and providing convenient sites at which extracorporeal arterial and venous blood pressure can be measured.

A portion of the extracorporeal blood-line set, including drip chambers, is normally fitted to the front of a hemodialysis machine in an orderly and convenient arrangement using special clips and the like. See Appendix A, pp. Components & Functions 4-5. Each drip chamber typically includes a short tubing segment terminated with a female fitting of a type known in the art as a Luer fitting. The female Luer is adapted for connection to a male Luer fitting on or near the front of the machine (see Appendix A, pp. Components & Functions 2-3), thereby providing the requisite connection of the drip chamber to a pressure-measuring component in the machine.

30 Drip chambers must be provided with a means for
adjusting the blood level therein, particularly to ensure
that the blood level does not drop so low in the drip
chamber that air becomes re-entrained in the blood.
Dialysis machines as currently known in the art require
35 that the operator manually rotate one or more knobs on the
machine to rotate a peristaltic pump coupled to the
corresponding drip chamber. Such a manual operation has

proven to be a cumbersome annoying task, especially since the peristaltic pumps can be difficult to rotate.

The machine of the present invention overcomes this problem by providing, as shown schematically in FIG. 5, an electrically driven reversible positive-displacement pump such as a peristaltic pump 272 which replaces the hand-operated peristaltic pumps found on conventional hemodialysis machines. The peristaltic pump 272 is fitted with flexible tubing 274, one end 276 of which is open to the atmosphere. The opposite end 278 is coupled in parallel to an "arterial" valve 280 and a "venous" valve 282 coupled to an arterial drip chamber 284 and a venous drip chamber 286, respectively. The valves 280, 282 are preferably solenoid valves of a type known in the art. Each drip chamber 284, 286 is coupled via a corresponding Luer fitting 288, 290 to the corresponding valve 280, 282. Included upstream of each Luer fitting 288, 290 is a pressure-measuring device 292, 294, such as a pressure transducer, which communicates with the microprocessor (not shown).

On the front of the machine are arterial and venous "up" buttons 296, 298, respectively, and arterial and venous "down" buttons 300, 302, respectively, which control operation of the corresponding valves 280, 282 and the peristaltic pump 272. See Appendix A, pp. Components & Functions 2-3. For example, pressing the arterial "up" button 296 opens valve 280 and initiates rotation of the peristaltic pump 272 so as to raise the blood level in the arterial drip chamber 284. Pressing the arterial "down" button 300 opens valve 280 and initiates an opposite rotation of the peristaltic pump 272 so as to lower the blood level in the arterial drip chamber 284. The venous "up" and "down" buttons 298, 302 operate in the same way to control the blood level in the venous drip chamber 286.

Further details pertaining to this feature are in Appendix A, pp. EA 4 ("Level Adjust"), ET 11 - ET 12 ("Level Adjust"), and M 2 - M 3 ("Level Adjusters").

Increasing Dialysate Flow Velocity Through the Dialyzer
Without Increasing Dialysate Flow Rate

Most hemodialyzers currently in use are hollow-fiber types which generally have a more compact shape than parallel-plate or coil dialyzers used previously. Hollow-fiber dialyzers as known in the art typically comprise a bundle of fine hollow fibers, each fiber made of a semipermeable membrane material, encased in an outer cylindrical shell. The shell defines a space surrounding the fibers termed the "dialysate compartment" through which flows the dialysate prepared by a dialysis machine. The patient's blood is conducted through the lumens of the hollow fibers, propelled by a blood pump on the dialysis machine.

Clearance of metabolic solutes from the blood through the fiber membrane to the dialysate depends on a number of factors, including the osmotic gradient across the semipermeable membranes. The osmotic gradient is dependent on a number of factors including ionic strength and ionic profile of the dialysate, dialysate flow rate through the dialysate compartment, and flow dynamics of the dialysate as it flows through the dialysate compartment.

It is important that the dialysate flow rate be high enough to expose the fibers to a sufficient supply of fresh dialysate to effect satisfactory clearance of toxic solutes from the patient's blood at a satisfactory rate. Any dead spaces or areas of blockage in the dialysate compartment which are not exposed to a continuous supply of fresh dialysate will adversely affect clearance. Such dead spaces can be reduced by merely increasing the dialysate flow rate. However, increasing the dialysate flow rate also increases the rate at which expensive dialysate concentrates are consumed. Therefore, it is advantageous, especially with large dialyzers, to increase dialysate flow velocity through the dialysate compartment without necessitating a corresponding increase in net dialysate flow through the dialysate compartment.

5 FIG. 6 depicts a typical hollow-fiber dialyzer
208 having an outer shell 306 defining a dialysate
compartment. Extracorporeal blood is pumped by the
machine's blood pump (not shown) through an arterial blood
line 308 from the patient (not shown), through the hollow
10 fibers (not shown) of the dialyzer 208, then returned
through a venous blood line 310 to the patient. FIG. 6
also shows the "arterial" dialysate line 206 and "venous"
dialysate line 174 (see also FIG. 1). A dialysate
recirculation pump 312, such as an electrically driven
15 gear pump, is coupled to the dialysate lines 206, 174
parallel with the dialyzer 208. The pump 312 can be
driven with a variable-speed controller to adjust the
pumping rate of the pump 312 relative to the flow rate of
the dialysate as delivered by the dialysis machine (not
20 shown).

By recirculating a portion of the "spent" dialysate from the "venous" dialysate line 174 to the "arterial" dialysate line 206 for repassage through the dialysate compartment 306, the flow velocity of the dialysate through the dialysate compartment can be increased without making a corresponding increase in dialysate flow. Hence, it is possible with this feature to improve clearances with a particular dialyzer without increasing the consumption of expensive dialysate concentrates.

Virtually all dialysis machines in current use employ a blood-leak detector to monitor dialysate flowing 35 from the dialyzer for the presence of blood that might have leaked from the blood compartment into the dialysate compartment of the dialyzer.

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A, pp. EA 5 - EA 6 ("Proportioning Control"). The stepper

motors 90, 114 are provided with optical encoders by which the machine's microprocessor not only accurately monitors and controls the rate of concentrate delivery, but also monitors stepper motor operation. If the stepper motor experiences one full rotation per 190 "steps," the microprocessor will "note" and log this anomaly, even if no adverse effect on dialysate conductivity resulted therefrom. A list of warning messages is provided below. In the list, system names above groups of messages are for reference only. Messages having parentheses indicate software functions. While actual failure of such functions would not be expected to occur during machine operation, the messages were useful while debugging the software. Messages having particular value to the technician, especially for troubleshooting mechanical malfunctions, are denoted with an asterisk.

BLOOD PUMP SYSTEM
"illegal qlen in BP_XMIT"
20 "Blood Pump Low Speed" *
"BP Control Shutdown" *
"BP Command Error" *
"Blood Pump Overspeed Alarm" *
"Bld Pmp Overspeed Alarm" *
25 "Illegal index in bp_xmit()" *
"Illegal index in bp_input()" *
"long timer error"

UF/PROP SYSTEM
30 "Too much time between EOS signals" *
"Early EOS detection" *
"UF SHUTDOWN" *
"UF Command Error" *
"UF Time scheduled Event Error" *
35 "Unidentified Error in MISC_ERRFLG" *
"A Pump Noise" *
"A Pump Missed Steps" *
"B Pump Noise" *
"B Pump Missed Steps" *
40 "C Pump Noise" * (for three
pump system)
"C Pump Missed Steps" *
"A temperature probe error" *
"B temperature probe error" *
45

IO SYSTEM

```
"illegal qlen in IO_XMIT"
```

```
"IO_XMIT: bad stat chnge %d,%d"
```

```
"Illegal io_xmit() index"
```

```
5 "Illegal index in io_input()"
```

```
"Illegal index in ioport_xmit()"
```

IOPORT SYSTEM

"No 8255... port terminated"

✱

```
10  "Set_pwr_state: hw_ver=1"
```

```
"Set_pwr_state: hw_ver=2"
```

"Set_power_state: Can't power on"

✱

```
"Set_power_state: Can't power off"
```

✱

```
"Converse: illegal return from uccom()"
```

```
15 "Switch failure in reset_port() function"
```

```
"Command buffer full in add_cmd()"
```

```
"Unrecognizable command in make_cmd()"
```

```
"Illegal number of data bytes in make_cmd()"
```

```
"Illegal number of data bytes in make_cmd()"
```

20

Having described and illustrated the principles of our invention with reference to a preferred embodiment, it will be apparent that the invention can be modified in arrangement and detail without departing from such principles. Accordingly, we claim as our invention all such embodiments as may come within the scope and spirit of the following claims and equivalents thereto.

1. In a method of operating a hemodialysis machine, an improvement in a man/machine interfacing process used in changing a treatment parameter, the

providing a touch screen interface with an indicia thereon corresponding to a treatment parameter; touching the indicia;

entering a numeric parameter corresponding to the treatment parameter by touching one or more buttons of the displayed keypad;

displaying on the touch screen a button
soliciting verification of the newly entered numeric
parameter;

touching the button soliciting verification; and
in response to said actions, causing the
treatment parameter to be changed;

2. The method of claim 1 which further includes removing the button soliciting verification from the screen if it is not touched within a predetermined period, said predetermined period being less than fifteen seconds.

3.- The method of claim 1 which further includes:
establishing upper and lower limits for the
parameter; and

displaying said limits on the screen when the keypad display is invoked to change the parameter, said limits being displayed at the location on the screen where the indicia corresponding to said parameter was displayed prior to the touching of said indicia.

providing a touch screen interface;

15 touching the screen at first or second locations
to increase or decrease, respectively, the displayed
numeric parameter and thereby alter the value of the
parameter to which the selected bar corresponds;
touching the screen at a third location to
20 signify completion of the programming; and
storing data corresponding to the bars in a
memory to which the process control system can refer in
changing the parameter with time.

30 displaying on the screen a second series of bars
corresponding to upcoming programmed values of the
parameter, said second series of bars being displayed with
a second visually perceptible character different than the
first; and

35 permitting only bars of the second series to be
 selected for alteration.

7. The method of claim 6 in which the time
5 varying parameter is ultrafiltration, and in which the
method further includes displaying on the screen a numeric
parameter corresponding to an area under the parameter
versus time curve, said area representing the total
ultrafiltrate programmed to be removed from a patient.

8. A method of operating a dialysis machine to establish desired treatment parameters, the method comprising the steps:

operating the computer in conjunction with the first data card interface device to load into a data card a set of desired treatment parameters, said set including parameters relating to three or more of the following:

operating the dialysis machine in conjunction with the second data card interface device to read from said data card said treatment parameters; and

35 for each of said parameters:
 displaying on a display device associated
 with the dialysis machine said parameter;

5 establishing the displayed parameter as a
treatment parameter only if the operator verifies
said parameter.

a dialyzer with a dialysate compartment, a blood
10 compartment separated from the dialysate compartment by a
dialysis membrane, a dialysate input, a dialysate output,
a blood input, and a blood output;

means for effecting extracorporeal circulation of blood from a dialysis patient through a blood circuit, the blood circuit including the blood compartment, the blood

25 10. A kidney dialysis machine as recited in
claim 9 further comprising:

30 a flow sensor in the dialysate circuit for sensing that no dialysate is flowing to the dialysate compartment from the kidney dialysis machine whenever the bypass valve is shunting dialysate flow away from the dialysate compartment.

35

11. A kidney dialysis machine as recited in claim 9 further comprising:

a flow sensor in the dialysate circuit for sensing that no net passage of liquid from the blood compartment to the dialysate compartment or from the dialysate compartment to the blood compartment occurs whenever said valve is shunting dialysate away from the dialysate compartment.

12. A kidney dialysis machine as recited in claim 9 wherein said means for effecting a preselected net passage of liquid from the blood compartment through the dialysis membrane to the dialysate compartment comprises means for delivering a first volume of dialysate to the dialysate compartment of the dialyzer, means for simultaneously removing a second volume of dialysate equal to the first volume from the dialysate compartment of the dialyzer, and an ultrafiltration flow meter situated in the dialysate circuit between said means for delivering the first volume and said means for delivering the second volume, the ultrafiltration flow meter operable to remove a preselected volume of liquid from the dialysate circuit between said means for delivering the first volume of dialysate and said means for removing a second volume of dialysate.

13. A kidney dialysis machine as recited in claim 12 including;

30 means for creating a volumetrically closed hydraulic loop in the dialysate circuit between said means for delivering the first volume and means for removing the second volume; and

pressure-monitoring means for monitoring

35 hydraulic pressure in the closed loop against pressure alarm limits.

10

20 said means for preparing the second type of
dialysate comprises both said means for preparing the
first type of dialysate and a second concentrate pump, a
second concentrate line coupled to the second concentrate
pump and having a connector end, and a second rinse
25 fitting adapted to receive the connector end of the second
concentrate line.

16. A kidney dialysis machine as recited in claim 15 further comprising proportioning-mode control means interfaced with said means for preparing the first type of dialysate and said means for preparing the second type of dialysate, said proportioning-mode control means operable to engage said means for preparing the first type of dialysate whenever the connector end of the second concentrate line is coupled to the second rinse fitting and to engage said means for preparing the second type of dialysate whenever the connector of the second concentrate line is not coupled to the second rinse fitting.

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20. A kidney dialysis machine as recited in claim 19 wherein each "pre-dialyzer" compartment has an inlet and an outlet and each "post-dialyzer" compartment has an inlet and an outlet, the flow equalizer further comprising inlet valves each having an outlet coupled to the inlet of a corresponding "pre-dialyzer" and "post-dialyzer" compartment, and outlet valves each having an inlet coupled to the outlet of a corresponding "pre-dialyzer" and "post-dialyzer" compartment, where the inlets of the valves coupled to the inlets of the "pre-dialyzer" compartments are coupled together and the inlets of the valves coupled to the inlets of the "post-dialyzer" compartments are coupled together so as to form a flow-equalizer inlet, and where the outlets of the valves coupled to the outlets of the "pre-dialyzer" compartments are coupled together and the outlets of the valves coupled to the outlets of the "post-dialyzer" compartments are coupled together so as to form a flow-equalizer outlet.

21. A kidney dialysis machine as recited in claim 20 further comprising first pressure equalizing means coupled to the inlet of the flow equalizer for equilibrating hydraulic pressures at the inlets of the "pre-dialyzer" and "post-dialyzer" compartments and second pressure equalizing means coupled to the outlet of the flow equalizer for equilibrating hydraulic pressures at the outlets of the "pre-dialyzer" and "post-dialyzer" compartments.

22. A kidney dialysis machine as recited in claim 21 further comprising sensing means for automatically determining end-of-stroke times for the first and second chambers of the flow equalizer.

23. A kidney dialysis machine as recited in claim 9 further comprising means for automatically turning on preselected machine functions after a preselected duration of a power-off condition.

10 25. A kidney dialysis machine as recited in
claim 9 adapted to effect extracorporeal circulation of
blood using a blood-line set having at least one drip
chamber for containing a volume of blood up to a
preselected level in the chamber, the machine further
15 comprising a drip-chamber level adjuster including an
automatically actuated valve having a first end coupled to
the drip chamber and a second end coupled to a reversible
positive-displacement pump, the pump and valve
controllably actuatable to permit a machine operator to
20 selectively raise and lower the level in the chamber.

25 26. A kidney dialysis machine as recited in claim 9 further comprising means for circulating the dialysate through the dialysate circuit at a preselected flow rate and means for circulating the dialysate through the dialysate compartment at a flow velocity in excess of a flow velocity corresponding to the preselected flow rate.

30 27. A kidney dialysis machine as recited in
claim 9 further comprising:
 means for circulating dialysate through the
dialysate circuit at a preselected flow rate;
 a blood-leak detector in the dialysate circuit
35 located downstream of the dialysate compartment, the
blood-leak detector including an LED for generating light
for passing through the dialysate passing through the
blood-leak detector, and a photodetector for receiving

5

28. A kidney dialysis machine as recited in claim 9 further comprising electronic memory operable to store information selected from a group consisting of machine calibration data, past machine calibration dates, future machine calibration dates, and machine calibration procedures, and to retrieve said information on demand by a machine operator.

29. A kidney dialysis machine as recited in
15 claim 29 further comprising a microprocessor operable to
control operation of activatable machine components,
receive data from said machine components pertaining to
operational status of said machine components, generate
warning messages if the machine components operate outside
20 preset operational limits, store said warning messages in
the electronic memory, and recall and display said warning
messages on demand by a machine operator.

[illegible]

FIG. 1A

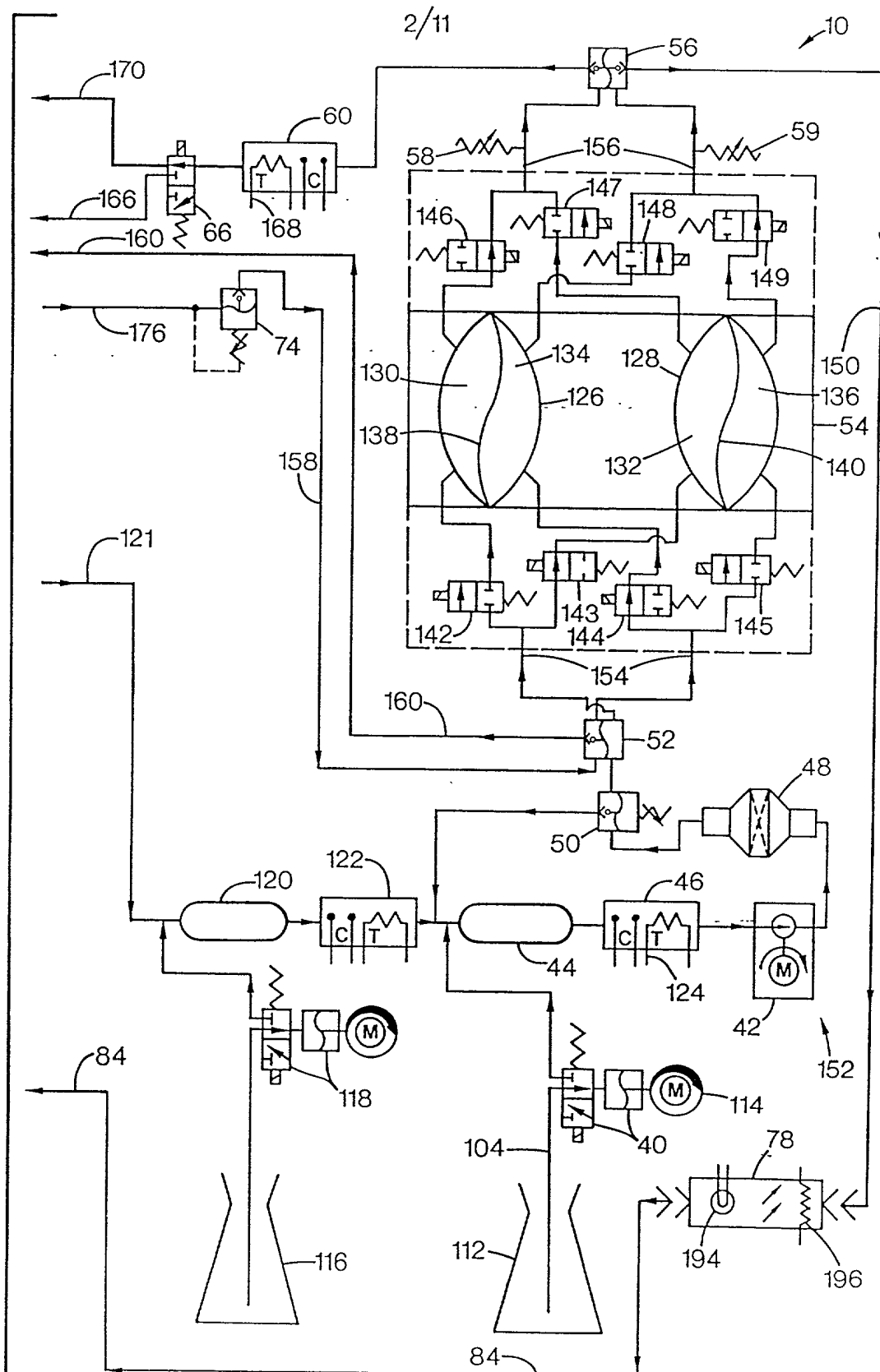
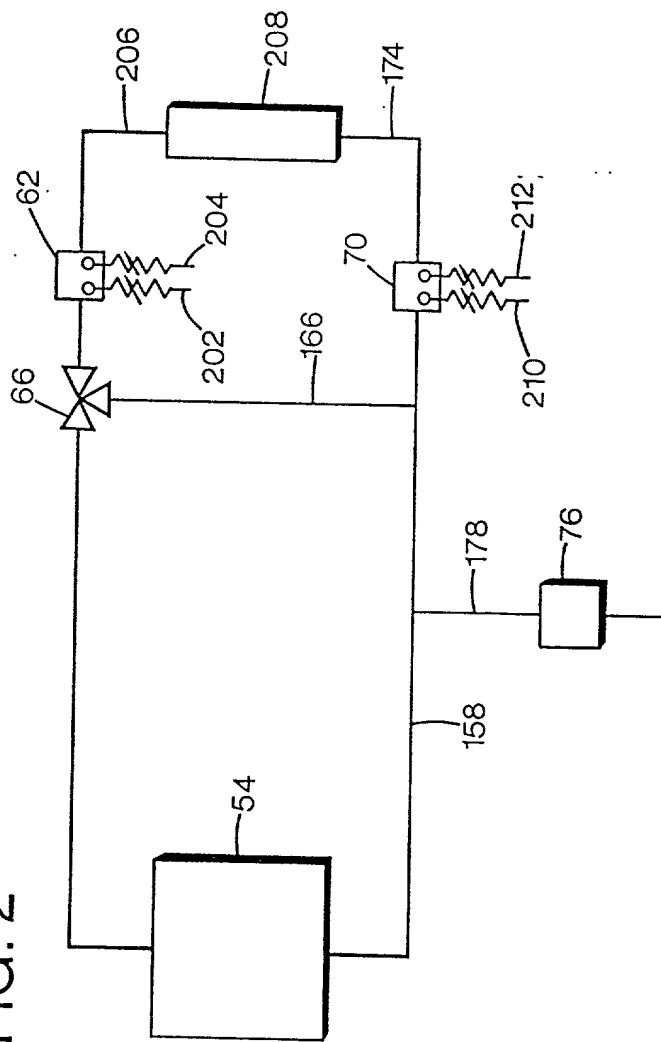


FIG. 2



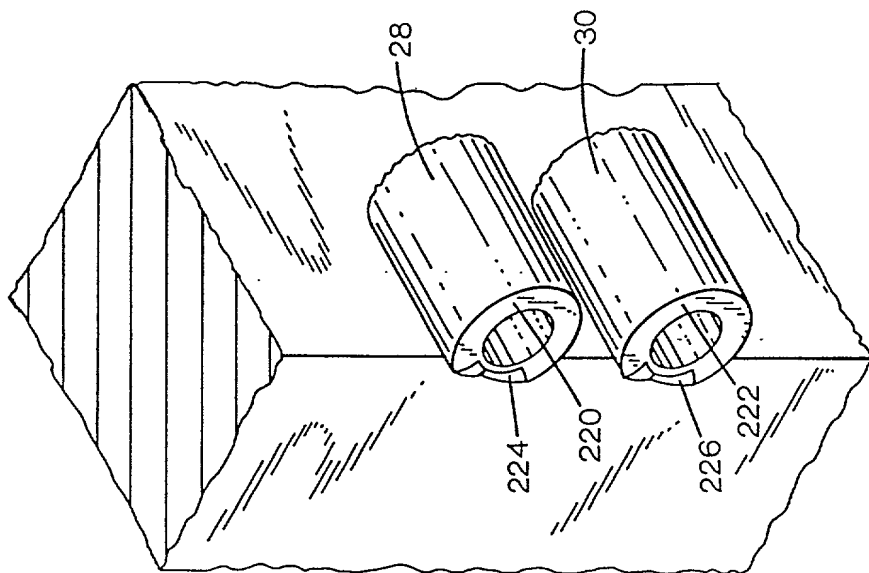


FIG. 3A

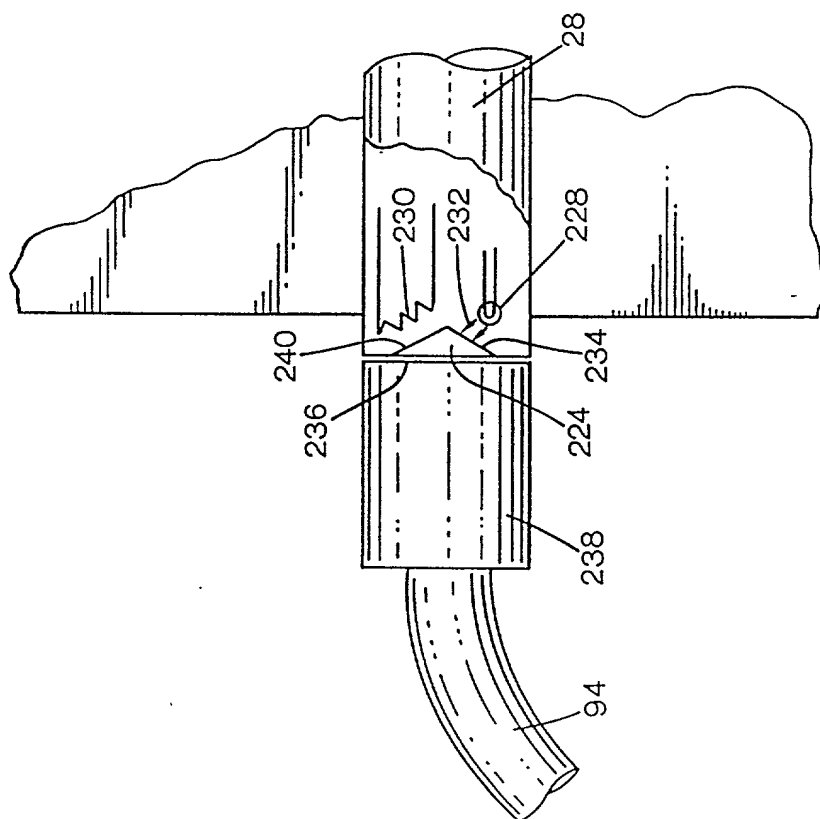


FIG. 3B

FIG. 4

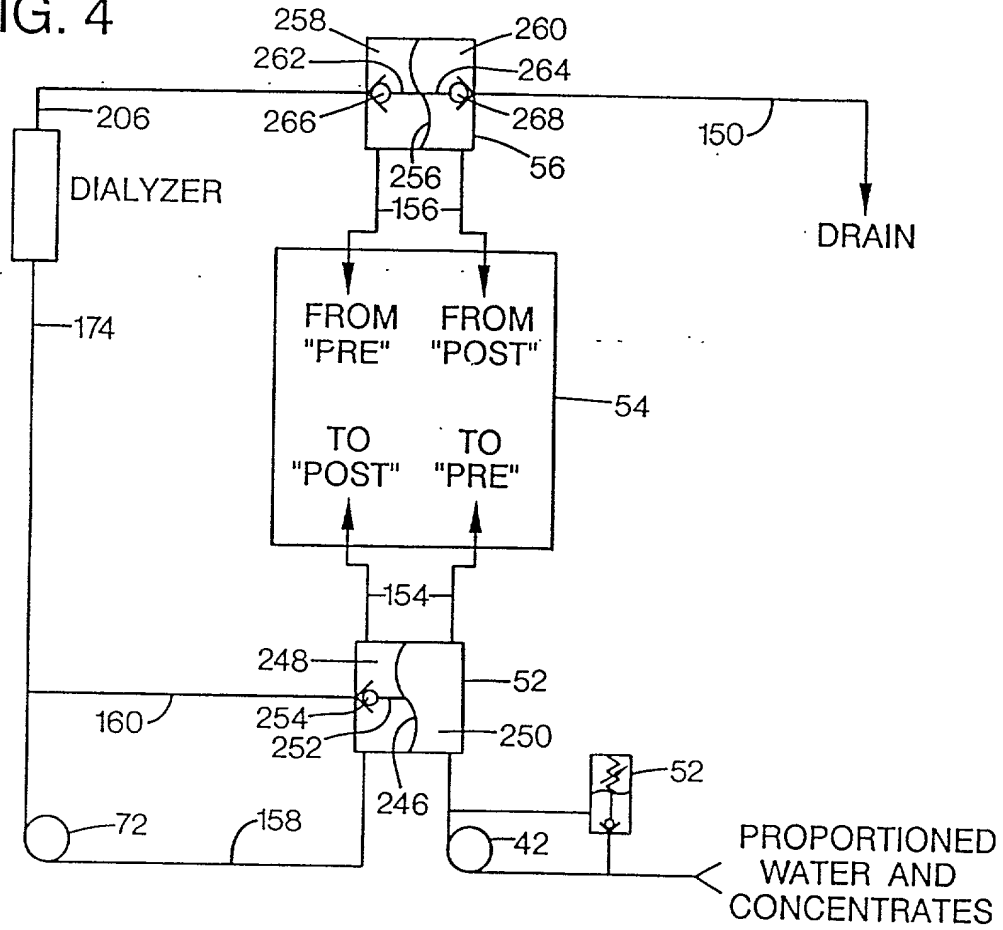
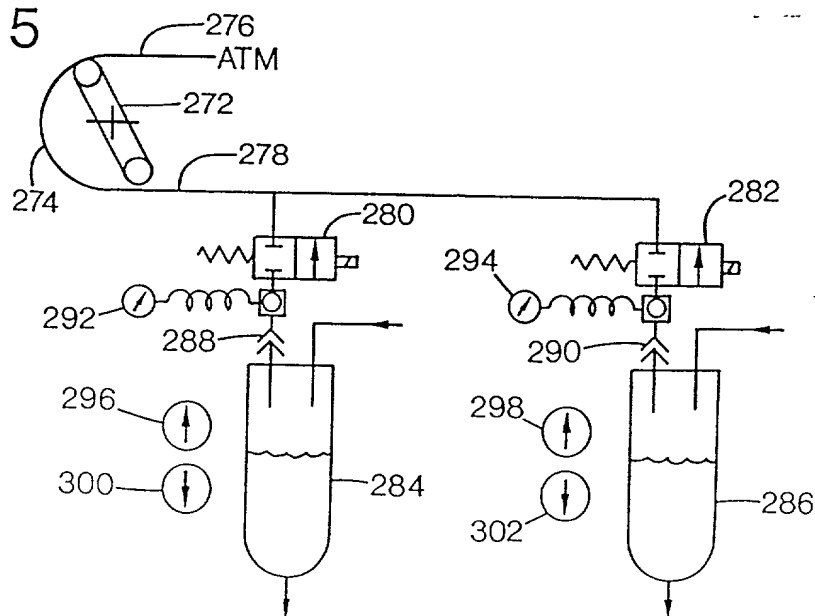


FIG. 5



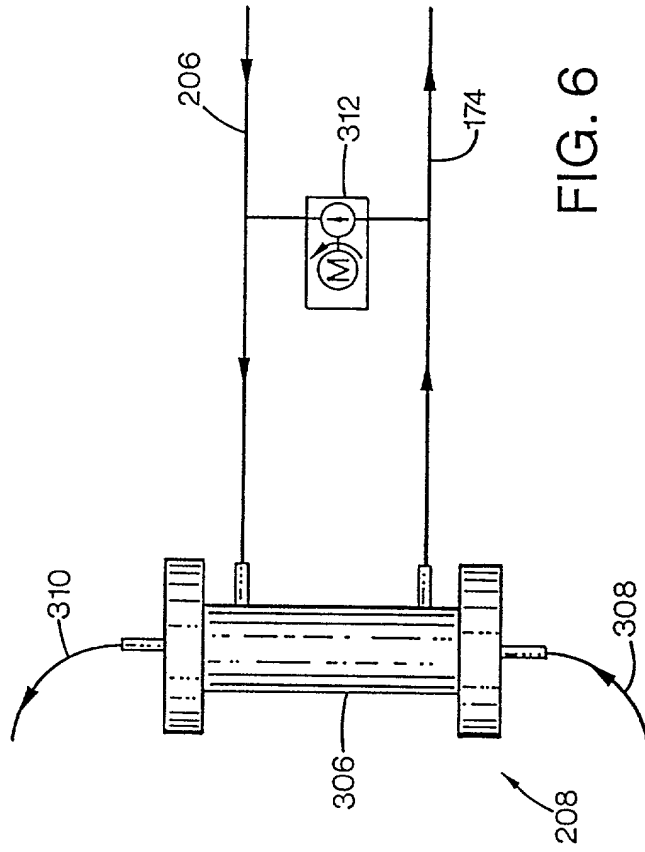


FIG. 6

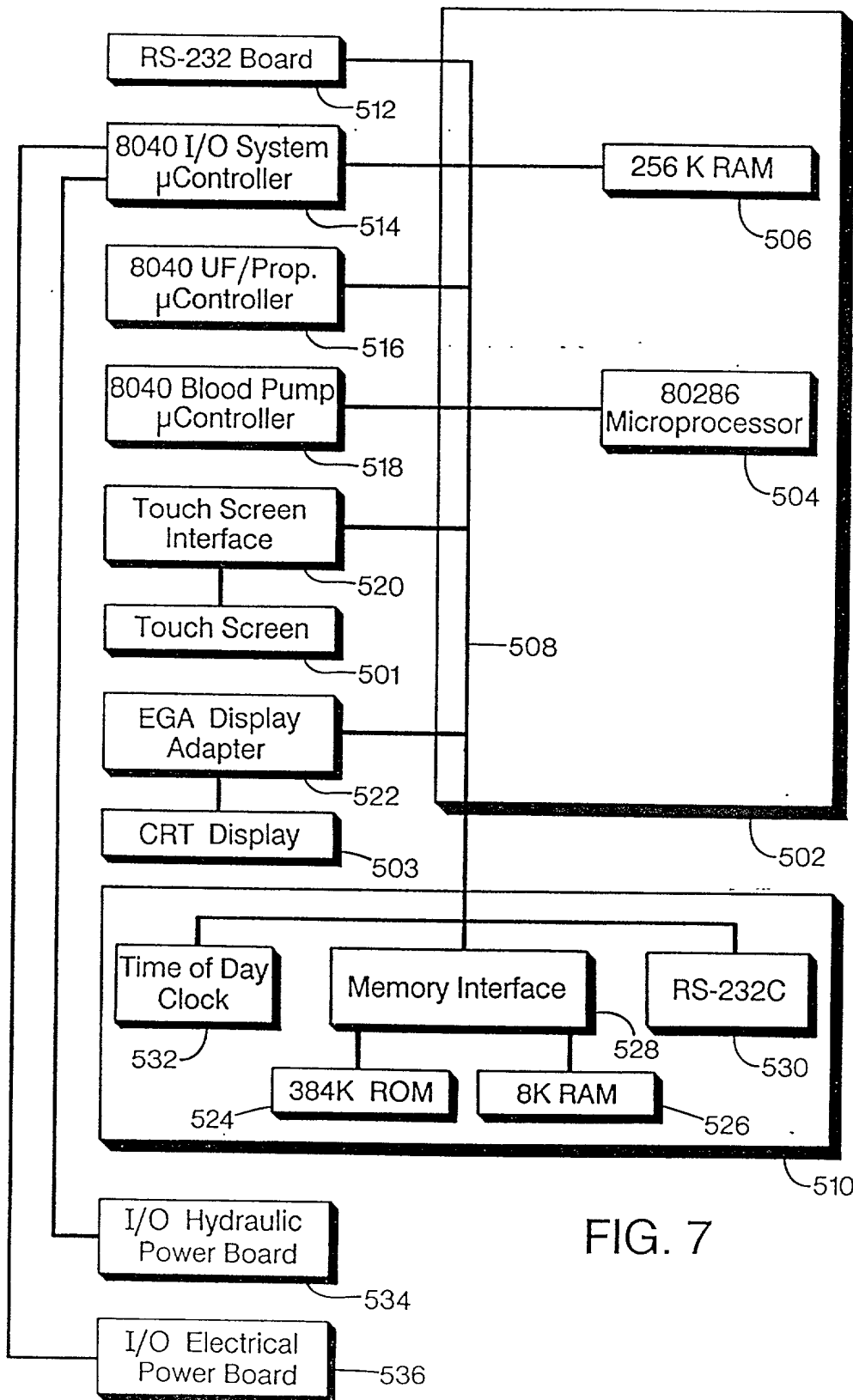


FIG. 7

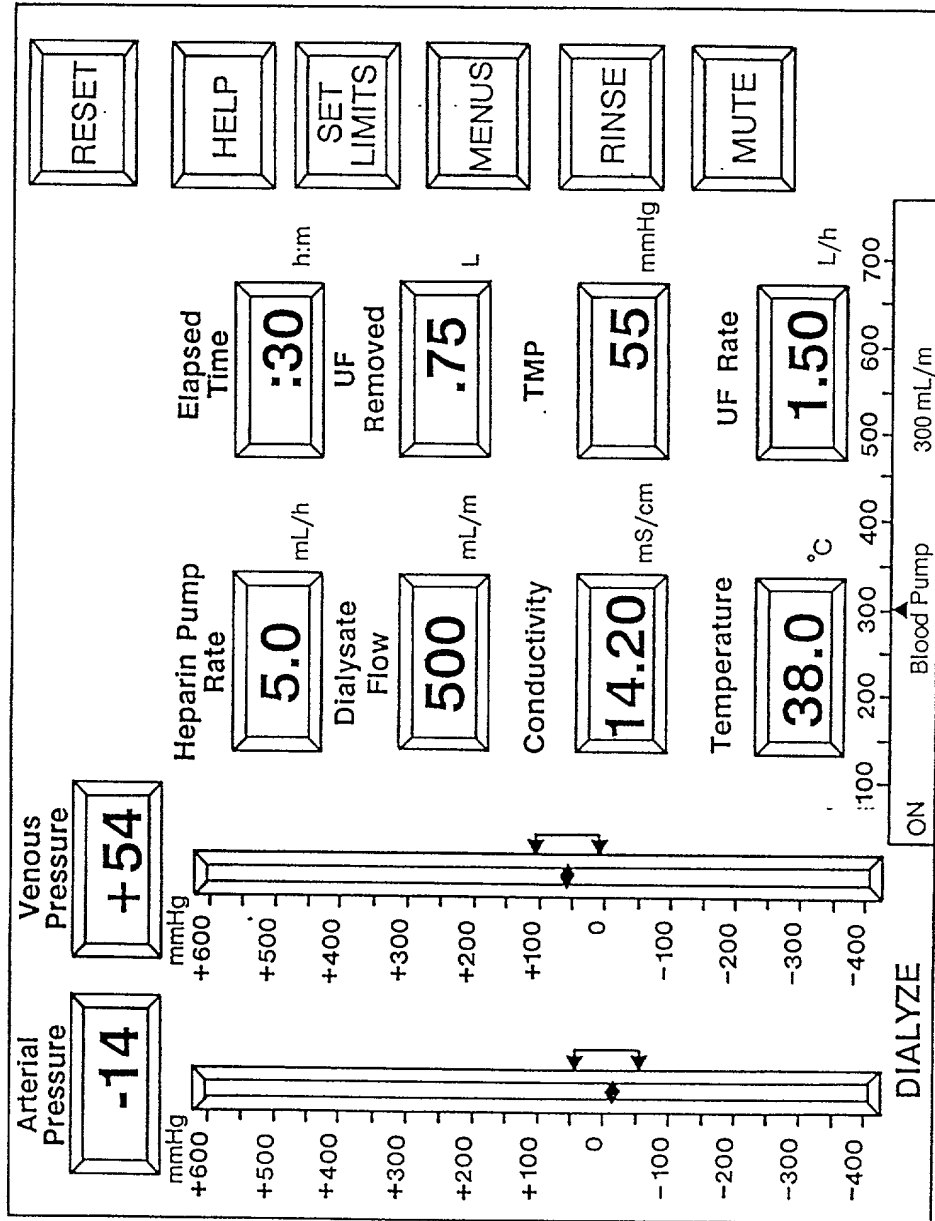


FIG. 8

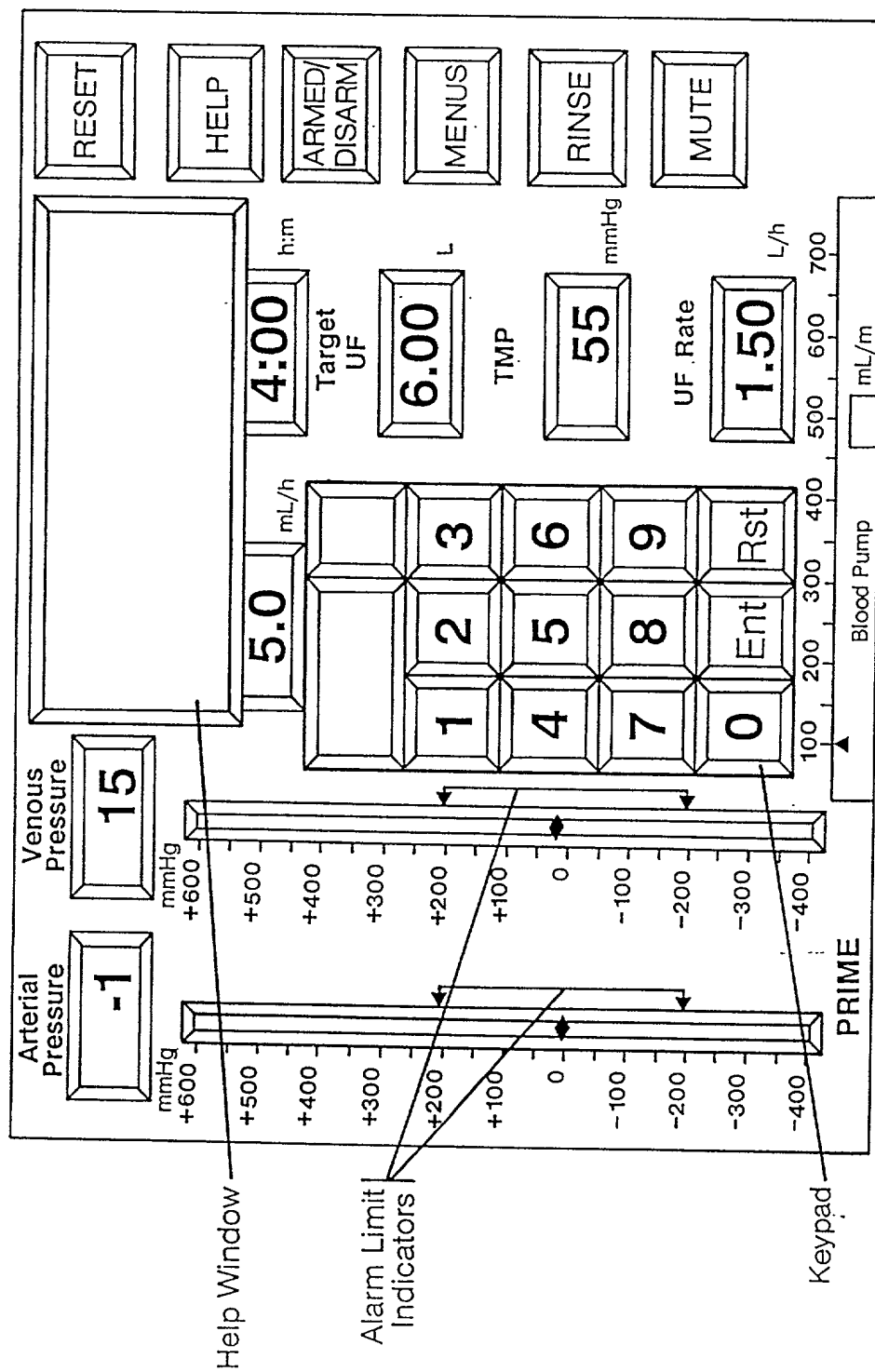


FIG. 9

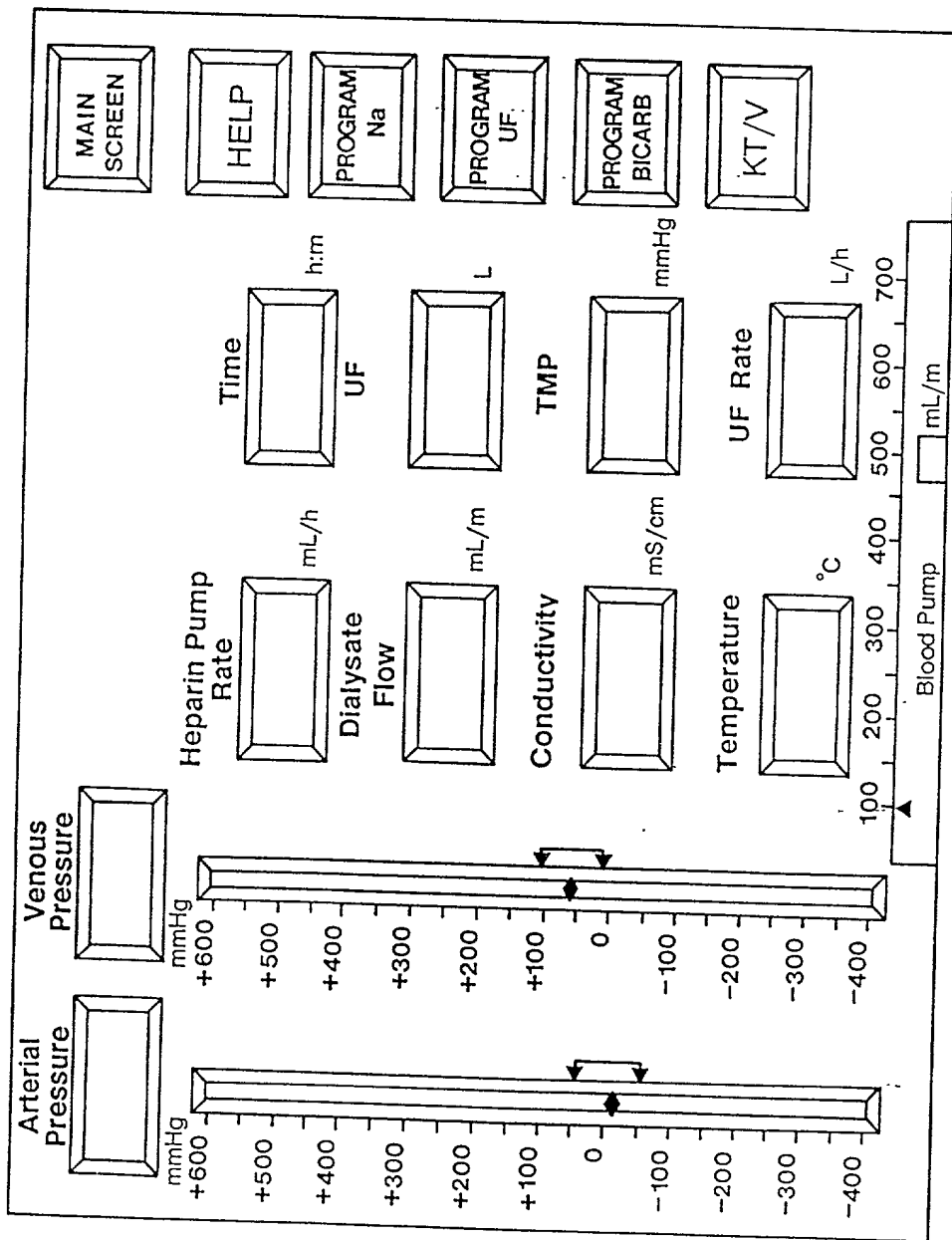


FIG. 11

Attorney's
Docket No. 954-35019

COMBINED DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below), or an original, first and joint inventor (if plural names are listed below) of the subject matter for which a patent is sought on the invention entitled METHOD AND APPARATUS FOR KIDNEY DIALYSIS, the specification of which

☐ is attached hereto.

☒ was filed on April 19, 1991 as Application Serial No. 07/688,174.

☐ and was amended on _____ (if applicable).

☐ with amendments through _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a). If this is a continuation-in-part application filed under the conditions specified in 35 U.S.C. §120 which discloses and claims subject matter in addition to that disclosed in the prior copending application, I further acknowledge the duty to disclose material information as defined in 37 CFR §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed
(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> <input type="checkbox"/> Yes No

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status: patented, pending, abandoned)
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and the following attorney(s) or agent(s) to prosecute this application, file a corresponding international application, and to transact all business in the Patent and Trademark Office connected therewith:

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Arthur L. Whinston	19,155	John D. Vandenberg	31,312
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Robert A. Bedient

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